Single-particle Cryo-EM -- Visualization of Biological Molecules in their Native States



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Funding : National Institutes of Health

Molecular Machines in the Cell



ATP Synthase

RNA Polymerase (Art of the Cell)

Bruce Alberts, Cell 1998

- Molecular machines: many molecules act in concert, in a processive way
- We wish to know the structures of all components but also the way they interact dynamically
- <u>Reductionism</u>: we study a subsystem in isolation (<u>in vitro</u>), hoping to approximate the processes in the environment of the cell

- Ancient history, EM and X-ray crystallography
- 1975 Single particle techniques -- the concept
- 1975 1987 SPIDER, programs for averaging, classification, 3D reconstruction
- 1981 Dubochet's discovery of vitreous ice
- 1987 First single-particle reconstruction negative stain
- 1989 First single particle reconstruction vitreous ice
- 1990 2012 Cryo-EM reconstructions with increasing resolutions up to 5.5 Angstrom
- 2012 Direct electron detection cameras hit the market
- 2012 now "resolution revolution"
- TODAY: exponential increase in cryo-EM structure depositions
- Future: Time-resolved cryo-EM & Mapping of continuum of states using cryo-EM



J. Frank, Nature Protocols 2017

Cryo-EM revolution



Single-particle techniques

J. Frank, Nature Protocols 2017

X-ray Crystallography

- Crystal: many copies of the molecule arranged in regular order.
- Exposure to X-ray beam → diffraction pattern → structure determination.
- X-ray beam must be high-intensity, crystal must be almost perfect.
- To date ~ 140,000 structures solved by X-ray crystallography, available in public databanks.
- Crystal packing → molecules not visualized in all conformations/binding states that important for function.
- Many molecules do not form highly ordered crystals.
- Sample quantity can be a big issue, as well.





Max Perutz and John Kendrew with a model of hemoglobin, 1962

http://www.mfpl.ac.at/vips/max-f-perutz/



5% of all Nobel Prizes are related to X-ray crystallography. Half of these were for biomolecules

One of the first Hybrid Meetings!



Hirschegg, site of 1968 workshop on X-ray crystallography and EM of proteins organized by Walter Hoppe and Max Perutz. Harold Erickson, Richard Henderson, Ken Holmes, Hugh Huxley, Nigel Unwin . . .



Conference site of the Hirschegg Meetings



Walter Hoppe with Max Perutz in Hirschegg

The First Electron Microscope (1931)



Porton gas. 218,2 in Sunaplathale Blende 13 mm (4) ister Unlert inte Blende 10 mm (10) illenden insels Clorteneniste sigt a-f Kandangelesk sigt salt out Bland & Imm with (1632) von M40 zu M36 (fot and normal)-Freillach Fackante - farinder Bagang makroubler Blend en eins afz Blende bindig mit untern Flanteller (foutnis) unter Rand der Haltemuffe = untern Rand des fonterdos 36 p for an tapparaturan overlining



Ernst Ruska 1931 Nobel Prize in Physics in 1986





USING ELECTRONS FOR IMAGING BIOLOGICAL MOLECULES



Solution:

low exposure

<u>hydration</u> <u>chamber</u> or <u>ice embedding</u>

- \rightarrow Low exposure + averaging over many repeats of a molecule image
- → Hydration to keep molecule in native state: hydration chamber at room temperature -- or -- embedding in vitreous ice, cryo-EM

Interactions of electrons with biological matter at 100 – 300 kV

- Elastic (high-res signal) vs. inelastic scattering (low-res, delocalized signal)
- Only the elastic component is useful for imaging
- Transmission electron microscopy: maximum thickness is ~0.25 μ = 2500 Å
- Larger thickness leads to multiple scattering and, eventually, total absorption



Visualization/Structure Determination by Transmission Electron Microscopy

- The transmission electron microscope can be used to solve molecular structures.
- Projection images formed at very high magnification, e.g. 30,000 x.
- To reconstruct an object, many different views must be collected.
- Sample must be very thin, electrons are readily absorbed by matter.
- Belectrons strongly damage the molecules -need for low dose! 10-20 electrons/square Angstrom.
- 🐵 Images are very noisy (shot noise)
- Initially, negative staining needed to be used for sample preparation of molecules. Cryo-sample preparations were developed later.



Transmission Electron Microscope

http://www.newworldencyclopedia.org/entry/File:Electron Microscope.png



LOW EXPOSURE 1971



Journal of Ultrastructure Research Volume 36, Issues 3–4, August 1971, Pages 466-482

Limitations to significant information biological electron microscopy as a result of radiation damage 1,

Robert M. Glaeser





Robert M. Glaeser

HYDRATION CHAMBER 1974

JOURNAL ARTICLE

Structure of Wet Specimens in Electron Microscopy

D. F. Parsons

Science

New Series, Vol. 186, No. 4162 (Nov. 1, 1974), pp. 407-414 (8 pages) Published By: American Association for the Advancement of Science



https://www.jstor.org/stable/1739696



Donald F. Parsons



Fig. 3. Electron diffraction pattern of a wet microcrystal of ox liver catalase recorded on No-Screen medical x-ray film at 200 kv. The projection was $P_{2,2,1}$ symmetry and corresponds to an orthorhombic habit of catalase.

PLUNGE FREEZING/EMBEDDING IN VITREOUS ICE 1981



3D RECONSTRUCTION

Among Radon's extensive work on calculus of variations, differential geometry and measure theory there is a paper appropriately titled

"Über die Bestimmung von Funktionen durch ihre Integralwerte längs gewisser Mannigfaltigkeiten." (1905)

It describes the way a multidimensional function is related to its projections, both in real and Fourier space.



Johannes Radon 1887 - 1956



THREE-DIMENSIONAL RECONSTRUCTION: STRUCTURES WITH HELICAL SYMMETRY. 1968 (sample prep: negative staining)

Pioneering work: 3D reconstruction of a bacteriophage tail using the Fourier-Bessel approach, 1968

Application of the Projection-Slice Theorem



Aaron Klug and David DeRosier, LMB/MRC Cambridge



DeRosier & Klug, Nature 217 (1968) 133

THREE-DIMENSIONAL RECONSTRUCTION: VIRUSES WITH ICOSAHEDRAL SYMMETRY (sample prep: negative staining) 1970

tomato bushy stunt virus



R. A. Crowther, Phil. Trans. Roy. Soc. 1971



Tony Crowther

THREE-DIMENSIONAL RECONSTRUCTION: STRUCTURES THAT FORM 2D CRYSTALS (glucose embedding). 1975

(Reprinted from Nature, Vol. 237, No. 5521, pp. 28-32, September 4, 1975)

Flente dent

Three-dimensional model of purple membrane obtained by electron microscopy

R. Henderson & P. N. T. Unwin

MRC Laboratory of Molecular Biology, Hills Road, Cambridge CB2 2QH, UK

A 7-Å resolution map of the purple membrane has been obtained by electron microscopy of tilled, unstained specimens. The protein in the membrane contains seven, closely packed, a-helical segments which extend roughly perpendicular to the plane of the membrane for most of its width. Lipid bilayer regions fill the spaces between the protein molecules.

Twe purple membrane is a specialised part of the cell membrane of Halobacterium halobium². Oesterhelt and Stoeckenius⁴ have shown that it functions it wive as a light-driven hydrogen ion pump involved² in photosynthesis. It contains identical protein molecules of molecular weight 26,000, which make up 75% of the total mass, and lipid which makes up the remaining 25% (ref. 3). Retinal, covalempt jinked to each protein molecule in a 1:1 ratio is responsible for the characteristic purple colour². These components together form an extremely regular twodimensional array⁴.

We have studied the purple membrane by electron microscopy using a method for determining the projected structures of unstained crystalline specimens?. By applying the method to tilted specimens, and using the principles put forward by De Rosier and Klugf for the combination of such two-dimensional views, we have obtained a three-dimensional map of the membrane at 7 Å resolution. The map reveals the location of the protein and lipid components, the arrangement of the polypeptide chains within each protein molecule, and the relationship of the protein molecules in the lattice.

Electron microscopy and diffraction

The purple membrane was prepared under normal conditions from cultures of *H. halobium*² and applied to the microscope grid in the presence of 0.5% glucose. The purified membranes are mostly oval sheets up to 1.0 µm in diameter and about 45 Å thick⁴. The array of molecules making up these sheets is accurately described⁴ as an almost perfect crystal of space group P3 (*a* = 62 Å) with a thickness of one unit cell only in the direction of the *c* axis. A single membrane thus contains up to 40,000 unit cells; that is 120,000 protein molecules (three per unit cell).

These large periodic arrays from which electron diffraction patterns and defocuted bright field micrographs are recorded' enable us to overcome the principal problem normally associated with high resolution electron microscopy of unitained biological materials; that is, sensitivity to electron damage*. Only a small number of electron sicroscopy of unitationed cell before it is destroyed, but because of the large number of unit cells, the information in the diffraction patterns and micrographs is sufficient to provide a picture of the average unit cell. The micrographs recorded with such low doses of electrons appear featureless, since the statistical fluctuation in the number of electrons striking the plate is large compared with the weak phase contrast (< 1%) produced by defocusing.



Fig. 1. Part of the three-dimensional reciprocal lattice showing the geometry of the lattice lines in the benaporal space group P3. ar, b² and c^{*} are the reciprocal lattice vectors. a⁴ and b² lie in, and c^{*} is perpendicular to the phase of the membrane. A central section which is perpendicular to the incident electron beam cases of the space of the membrane is the data with the reciprocal lattice is determined by the angle of the and the axis about which the membrane is third, and the axis about which the membrane is titled, angle of the and the axis about which the membrane is titled, are provided and the axis about which the membrane is titled, are provided by the conduct of this section at the points, shown. The angle of the vaccine boung the conduct of the specially outfield, titled special points beam of the encourted on the special point of the degree of underfocus across the plate former accuracy of measurement of both the amplitudes and phases to evaluate the angle that and the data about the actions and phases do not a specific the special point of the degree of underfocus across the plate former accuracy of measurement of both the amplitudes and phases to evaluate the algorithm of the degree of underfocus across the plate former accuracy of measurement of both the amplitudes and phases to evaluate that, on the increace equilate the acloustation. The accuracy of measurement of a data to which the measurement of both case to evaluate that, on the increace equilate the special provided the special p

As a result, analysis of each micrograph by densitometry and computer processing⁶ is required to combine the information from individual unit cells.

Solution of the three-dimensional structure of the purple membrane requires the determination of the amplitudes and phases in three dimensions of the Fourier terms into which it can be analysed. The diffraction pattern or Fourier transform of the membrane is not a three-dimensional lattice of points as is the case with a normal crystal, but since it is only one unit cell thick, a two-dimensional lattice of lines which are continuous in the direction of c^{*} (that is perpendicular to the membrane). A single electron diffraction experiment therefore



Richard Henderson and Nigel Unwin

Purple membrane Protein

Bacteriorhodopsin

Electron dose is spread over many repeats of the molecule in the crystal





Why Crystals? 3D Reconstruction of Asymmetrical Molecules by Electron Tomography ~1968





- Electron Tomography of single molecules
- Examples: fatty acid synthetase and ribosome
- BUT: Accumulated electron exposure exceeded 1000 e⁻/A²



Walter Hoppe

(MPG Archive)

Why Crystals? 3D Reconstruction of Asymmetrical Molecules by Single-Particle Techniques – the Concept 1975

- Single-particle techniques: structural information from images of single (i.e., unattached) molecules in many copies.
- Molecules are free to assume all naturally occurring conformations.
- Molecules are randomly oriented.
- A single snapshot may already give us hundreds of particle views.
- As we collect more snapshots, more orientations will be covered, until we have enough for reconstructing the molecule in three dimensions.





EM images can be aligned to within better than 3 Angstrom!





Fig. 3.8. Definition of the cross-correlation function. Image 1 is shifted with respect to image 2 by vector \mathbf{r}_{pq} . In this shifted position, the scalar product of the two images arrays is formed and put into the CCF matrix at position (p,q). The vector \mathbf{r}_{pq} is now allowed to assume all positions on the sampling grid. In the end, the CCF matrix has an entry in each position. From Frank (1980). Reproduced with permission of Springer-Verlag, New York.

Cross-correlation function of 2 successive micrographs of the same carbon film

J. Frank, Ph.D. thesis 1970

. 11a.b Graphitfolie; Korrelationsfunktionen Ber Teilbereich). Höhenschichtlinien; Abstand 0.006, von 0.0 bis 0.03 Abstand 0.001, von 0.147 bis 0.166 Dissertation at Technical University Munich, published in 2019, 49 years after completion



J. Frank (1970) "Analysis of high-resolution electron micrographs using image difference and reconstruction methods" Ultramicroscopy 1 (1975) 159–162 © North-Holland Publishing Company

SHORT NOTE

AVERAGING OF LOW EXPOSURE ELECTRON MICROGRAPHS OF NON-PERIODIC OBJECTS

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Received 20 October 1975

The investigation concerns the possibility of extending to non-periodic objects the low exposure averaging techniques recently proposed for non-destructive electron microscopy of periodic biological objects. Two methods are discussed which are based on cross-correlation and are in principle suited for solving this problem.

1. Introduction

Recent work on low exposure techniques combined with averaging [1-3] (called 'SNAP shot techniques' in [3]) shows that information can be retrieved from periodic biological objects at higher than conventionally available resolutions [4]. Unwin and Henderson [2] were able to achieve 7 Å image resolution, by re6]. In these applications, the contrast of the individual marker atom image to be superposed is sufficient for straightforward alignment. However, the requirement of subminimum exposure poses a new problem: the alignment of features that are only faintly visible on a noisy background.

Conditions for alignment of two images of a molecule of size *D*

 $D \ge$ $\overline{c^2}dp_{crit}$

PARTICLE SIZE > 3 / [CONTRAST² x RESOLUTION (in Å) x CRITICAL ELECTRON DOSE]

Saxton & Frank, Ultramicroscopy 1977

Devil in the detail – Problems to be solved:



- ALIGN IMAGES
- CTF CORRECTION
- SORT/CLASSIFY IMAGES
- FIND PROJECTION ANGLES
- RECONSTRUCT IN 3D





 $\frac{1}{N} \sum \sum i_1(r_{jk}) i_2(r_{jk}+r_{pq})$

rpq *



SPIDER -- Modular image processing program

Toronto EM conference abstract 1978 Ultramicroscopy 1981

Some of the operations (out of hundreds):

- AC -- autocorrelation
- CC cross-correlate 2 images
- FT -- Fourier transform
- RT -- rotate
- SH -- shift
- WI -- window



"WORKBENCH" FOR PROCESSING IMAGES

The Ribosome – its role in the development of Single-Particle Techniques



Miloslav Boublik Roche Institute, Nutley, NJ





Jim Lake





In the beginning, there was the Lake model: 3D reconstruction by eye, inferred from EM images

Alignment and averaging of single-particle images



Proof of concept

40S subunits of HeLa (human) Ribosomes



Frank et al., Science 1981

HALF-AVERAGES

S.D. MAP AVERAGE

Problem of heterogeneity: molecules are in different orientations and conformations



Frank et al., Science 1981

N. Boisset, thesis 1987

L and R views (flip and flop) of HeLa ribosomes flip and flop views of hemocyanin

Multivariate analysis of aligned molecule images



FLIP/FLOP and Rocking positions



dodecamer

Hemocyanins of Arthropods are oligomers of a basic unit

Van Heel and Frank, Ultramicroscopy 1981

How to Find the Angles of Projection

Via bootstrap:

Random-conical tilt reconstruction




Random-Conical Tilt Reconstruction (Principle)





J. Frank, overhead 1979

Random-Conical Tilt Reconstruction (Principle – Fancy Version)



J. Frank, American Scientist 1998

First single-particle 3D reconstruction 1987



Michael Radermacher

Radermacher et al., EMBO J. 1987

The 50S ribosomal subunit as a contour stack in 3D

First 3D Reconstruction using Single Particle Reconstruction Nobel Museum, Stockholm



Frozen-hydrated specimens / Plunge-freezing / Vitreous ice / Cryo-EM





F30 Polara (FEI)

Plunge-freezers





ribosomes, recorded on film

Iterative angular refinement



E. coli ribosome



Frank et al., Nature 1995

Octopus hemocyanin



Lambert et al., 1994

Calcium Release Channel



Radermacher et al., 1994



E. coli ribosome 1995

Elongation Cycle (for adding each amino acid)



Translocation

Maximum likelihood method of classification

Several reconstructions from the same sample all at once! "STORY IN A SAMPLE"

S.H.W. Scheres, H. Gao, M. Valle, G.T. Herman, P.P.B. Eggermont, J. Frank & J.M. Carazo (2007). "Disentangling conformational states of macromolecules in 3D-EM through likelihood optimization." *Nat. Methods*, 4, 27-29.

S.H.W. Scheres (2012). "A Bayesian View on Cryo-EM Structure." J. Mol. Biol. 415, 406-418.



"STORY IN A SAMPLE" -- intermediate states in the ratchet-like motion and hybrid tRNA positions in the absence of EF-G



Agirrezabala et al., PNAS 2012

Resolution of single-particle cryo-EM was limited by the inferior quality of the recording medium



Hashem et al., Nature 2013

Best resolution from recording on film: 5.5Å

NEW ERA (SINCE 2012): DIRECT ELECTRON DETECTING CAMERAS



Koning et al. Ann. Anatomy 2018

New era (since 2012): *New single-electron detecting cameras* Detection Quantum Efficiency (DQE):

(how good is the recording device in capturing every single electron?)



McMullan, G. et al. arXiv:1406.1389

Ribosomes, recorded on K2 GATAN direct electron detection camera

WORKFLOW FROM SAMPLE TO ATOMIC STRUCTURE



https://shuimubio.com/services/cryo-em-spa

Elongation Factor G mutant H94A bound to the ribosome



Example for maximum likelihood 3D classification Multiple states in the same sample

T. cruzi ribosome large subunit at 2.5 Å Liu et al., PNAS 2016





Bartesaghi et al. STRUCTURE 2018

Beta-Galactosidase At 2.2 Å resolution

"Signatures" of amino acids in the Coulomb density distribution





J. Frank, Nature Protocols 2017

Just in Time: high-resolution single-particle cryo-EM and the new pandemics



Fig. 4: Cryo-EM reconstructions of Fab–spike complexes and visualization of neutralizing epitopes on the spike surface.

From: Potent neutralizing antibodies against multiple epitopes on SARS-CoV-2 spike



of released structures (PDB) / year



Future directions



Time-resolved cryo-EM



Mäeots et al. Nat. Commun. 2020



PDMS-BASED MICROFLUIDIC CHIP

Modular design High (>90%) mixing efficiency SO₂ coating prevents adhesion of molecules 3D sprayer Ice thickness control



Xiangsong Feng

Bhattacharjee et al., Cell 2024

Time resolution in cryo-EM using a PDMS-based microfluidic chip assembly and its application to the study of HfIX-mediated ribosome recycling



Bhattacharjee et al., Cell, in press

Literature on Time-resolved cryo-EM by Frank Lab:

Applications so far in the bacterial translation field

Shaikh et al., PNAS 2014 – ribosome subunit association
Chen et al., Structure 2015 – ribosome subunit association
Frank, J., J. Struct. Biol. 2017 -- review
Fu et al., Structure 2018 – RRF-mediated ribosome recycling
Kaledhonkar et al., Nature 2019 – translation initiation
Fu et al., Nature Comm. 2019 – translation termination
Bhattacharjee et al., Cell 2024 – PDMS-based microfluidic chip assembly and HflX-mediated ribosome recycling

Other Labs:

See literature quoted in Bhattacharjee et al., Cell 2024





DO FOR EACH PD of the angular sphere: order the images sequentially by similarity



(This is a one-dimensional problem)



By splicing all images from different horses in the sequence of their similarity in forward and backward direction, we get a movie of the average horse galloping
- Reconcile the information from all PDs (Propagation of conformational coordinates across the angular space S²)
- Next: transform map of occupancies into free energy map.
- Low occupancy \rightarrow high ΔG
- High occupancy \rightarrow low ΔG







Literature on data mining from snapshots of large ensembles of molecules/ Manifold embedding/ ManifoldEM

--Dashti, A. et al. (2014). Trajectories of the ribosome as a Brownian nanomachine. *Proc Natl Acad Sci USA*

Frank, J., and Ourmazd, A. (2016) Continuous changes in structure mapped by Manifold Embedding of single-particle data in cryo-EM. *Methods* (Review)

--Ourmazd, A. (2019) Cryo-EM, XFELs and the structure conundrum in structural biology. *Nature Meth.* (Review)

--Dashti, A. et al. (2020). Retrieving functional pathways of biomolecules from single-particle snapshots. *Nature Communications*

--Seitz, E., and Frank, J. (2020) POLARIS: Path of Least Action Analysis on Energy Landscapes. *J. Chem. Inf. Model.*

--Maji, S. et al. (2020) Propagation of conformational coordinates across angular space in mapping the continuum of states from cryo-EM data by manifold embedding. *J. Chem. Inf. Model.*

--Sztain, T. et al. (2021). A glycan gate controls opening of the SARS-CoV-2 spike protein. Nature Chemistry

--Seitz, E. et al. (2022) Recovery of conformational continuum from single-particle cryo-EM images: Optimization of ManifoldEM informed by ground truth. *IEEE Trans. Comp. Im.*

--Seitz, E. et al. (2023) Beyond ManifoldEM: geometric relationships between manifold embeddings of a continuum of 3D molecular structures and their 2D projections. *Digital Discovery*

Conclusion -- Single-particle cryo-EM: A new era in structural biology

- No need for crystals!
- Compared to X-ray cryst., very small sample quantity needed
- Resolution in the 3-4 Å range now routinely achievable
- Multiple structures retrieved from the same sample \rightarrow clues on function
- Molecules in close-to-native conditions
- Solving structures of membrane proteins much easier than with X-ray crystallography
- Huge expansion of structural data base relevant for Molecular Medicine