# Validation Methods

## A blast from the past ...

NYSBC-NCCAT 2022 Single-particle cryo-EM Course

## The dark side of single-particle EM

The great thing about single-particle EM: Every dataset and processing approach yields a 3D map !

The <u>bad</u> thing about single-particle EM: Every dataset and processing approach yields a 3D map !

But is it correct ???



Particularly problematic for low-resolution maps

#### The issue: Structures of the IP3 receptor as determined by single-particle EM





Cells Expression Purification

Potential issues:

Heterogeneity – Compositional – Conformational – Discrete states – Continuous movement

Effect of cross-linking

Potential issues with samples

Before attempting structure determination – Understand and optimize your sample !

Prepare negatively stained specimens: Good contrast and preferred orientations → Easy to assess heterogeneity

If particles look heterogeneous: Calculate class averages → Assess type and degree of heterogeneity → Minimize heterogeneity by any means possible

If chemical fixation was used: Look at unfixed sample to assess effect of cross-linking → Assess whether structure of cross-linked sample is meaningful

#### Effect of cross-linking: The HOPS tethering complex

#### Cross-linked



Bröcker *et al.* (2012) *PNAS* <u>109</u>: 1991-1996

#### Native



Chou *et al.* (2016) *NSMB* <u>23</u>: 761-763



#### Potential issues:

- No particles
- Preferred orientations

Potential issues with grids

No particles (particles bind to carbon and avoid holes)

- Increase protein concentration
- Double blotting
- Use thin support film (carbon or graphene oxide)
   Use different grids, e.g., PEG-treated or gold grids

Preferred orientation (particles align at air/water interface)

- Lack of views will result in:
- non-isotropic resolution of the density map
- can potentially lead to an incorrect density map

 Use low concentration of detergent (changes surface tension) Use thin carbon film (commonly used for ribosome samples) - Use gold grids

Different sample preparation approach (e.g., Spotiton) Collect images from tilted specimens

#### Preferred orientations: Pex1/6 complex Without detergent

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#### Preferred orientations: Pex1/6 complex With detergent





#### Potential issues:

- Low contrast
- Beam damage

Potential issues with images

Poor electron scattering
 → high electron dose



Beam sensitivity  $\rightarrow$  low electron dose

→ Poor SNR can be fixed by averaging → Loss of information cannot be fixed

 $\rightarrow$  Electron micrographs recorded with low electron doses  $\rightarrow$  Particles hard to see and align, especially small ones

#### Problem fixed by DDD cameras

 → Collect long movies (movies allow for motion correction/unblurring)
 → Add frames with resolution filter (removes damaged high-resolution information retains low-resolution information for good SNR)



#### Potential issues:

Particle picking:

- Model/reference bias
- 2D classification:
- Model/reference bias
- Number of classes
- Heterogeneous classes
- Disappearing classes

3D classification has become very powerful
 → 2D classification not as important anymore
 → mostly used for initial quality control and to remove (really) bad particles)

# Structure determination by single-particle EM <u>Potential issues with particle picking</u>





1,000 images containing pure white noise Reference: <u>Albert Einstein</u>

Shatsky *et al.* (2009) *J. Struct. Biol.* <u>166</u>: 67-78 Henderson (2013) *Proc. Natl. Acad. Sci. USA* <u>110</u>: 18037-18041

# Structure determination by single-particle EM <u>Potential issues with particle picking</u>



Model/reference bias

Average of 1,000 images containing pure white noise after alignment to an image of Albert Einstein

 $\rightarrow$  Einstein from noise

Shatsky *et al.* (2009) *J. Struct. Biol.* <u>166</u>: 67-78 Henderson (2013) *Proc. Natl. Acad. Sci. USA* <u>110</u>: 18037-18041

# Structure determination by single-particle EM Potential issues with particle picking



Mao *et al.* (2013) *PNAS* <u>110</u>: 12438-12443



Henderson (2013) *PNAS* <u>110</u>: 18037-18041

# Structure determination by single-particle EM <u>Potential issues with particle picking</u>



Mao *et al.* (2013) *PNAS* <u>110</u>: 12438-12443

Using template matching to pick particles from very noisy images is dangerous

- → Averages will end up looking like templates used for particle picking
- → Better to first pick images without templates and use resulting averages as templates for re-picking



Potential issues:

Incorrect map

Because of:

- Heterogeneous sample
- Missing views
- Incorrect solution

## Random conical tilt reconstruction



## Single particles in ice



## Angular reconstitution



#### van Heel, 1987

- 1. choose 3 projection images that are perpendicular views of the particle (anchor set)
- 2. add in further projections and keep refining



Serysheva et al., 1995

## Chicken Slo2.2 in the absence of Na<sup>+</sup>



Class averages Initial model (obtained with VIPER)

## Angular refinement



## Angular refinement





Potential issues:Reference biasOverfittingResolution assessment

# Structure determination by single-particle EM <u>Potential issues with density map</u>



Model/reference bias

Average of 1,000 images containing pure white noise after alignment to an image of Albert Einstein

 $\rightarrow$  Einstein from noise

Shatsky *et al.* (2009) *J. Struct. Biol.* <u>166</u>: 67-78 Henderson (2013) *Proc. Natl. Acad. Sci. USA* <u>110</u>: 18037-18041

## Angular refinement



# Structure determination by single-particle EM <u>Potential issues with density map</u>



Model/reference bias

Average of 1,000 images containing pure white noise after alignment to an image of Albert Einstein

 $\rightarrow$  Einstein from noise

Over-fitting results in spurious highresolution features due to alignment of noise

Shatsky *et al.* (2009) *J. Struct. Biol.* <u>166</u>: 67-78 Henderson (2013) *Proc. Natl. Acad. Sci. USA* <u>110</u>: 18037-18041

**Resolution assessment** 



Maps have to be independent !



 FSC = 0.5
 Signal = Noise

 Böttcher et al. (1997) Nature <u>386</u>: 88-91

FSC = 0.143 Phase error = 60° Rosenthal & Henderson (2003) *J. Mol. Biol.* <u>333</u>: 721-745

Resolution assessment



**Resolution assessment** 



If the entire dataset is refined together against a reference resolution-filtered to 10 Å

FSC = 0.143 criterion still meaningful as long as FSC shows correlation beyond resolution of reference (10 Å)

Resolution assessment

The 2016 map challenge

December 2018 Special Issue of *J. Struct. Biol.* with contributions regarding the map and model challenges (Lawson & Chiu, Heymann *et al.*)

Current procedure to estimate resolution by FSC is not sufficiently standardized

Several variables (e.g., map box size, voxel size, filtering and masking practice and threshold value for interpretation) can substantially impact the determined resolution

Archives could independently estimate the resolution of maps by FSC from deposited unmasked, minimally filtered half-maps

Still does not take into account local resolution differences !

Local resolution



Local resolution



Local resolution


Local resolution



Local resolution



Local resolution



#### **Resolution assessment**

What should be resolved ?

> 20 Å protein envelope

~ 9-10 Å  $\alpha$ -helices

< 4.8 Å  $\beta$ -sheets

~ 4 Å bulky side chains



Rosenthal & Rubinstein (2015) Curr. Opin. Struct. Biol. 34: 135-144



#### The issue: Structures of the IP3 receptor as determined by single-particle EM





Meeting of experts in 2010 to come up with standards for map validation

Outcome summarized in 2012:





#### Outcome of the First Electron Microscopy Validation Task Force Meeting

Richard Henderson,<sup>1</sup> Andrej Sali,<sup>2</sup> Matthew L. Baker,<sup>3</sup> Bridget Carragher,<sup>4</sup> Batsal Devkota,<sup>5</sup> Kenneth H. Downing,<sup>6</sup> Edward H. Egelman,<sup>7</sup> Zukang Feng,<sup>5</sup> Joachim Frank,<sup>8,9</sup> Nikolaus Grigorieff,<sup>10</sup> Wen Jiang,<sup>11</sup> Steven J. Ludtke,<sup>3</sup> Ohad Medalia,<sup>12,21</sup> Pawel A. Penczek,<sup>13</sup> Peter B. Rosenthal,<sup>14</sup> Michael G. Rossmann,<sup>15</sup> Michael F. Schmid,<sup>3</sup> Gunnar F. Schröder,<sup>16</sup> Alasdair C. Steven,<sup>17</sup> David L. Stokes,<sup>18</sup> John D. Westbrook,<sup>5</sup> Willy Wriggers,<sup>19</sup> Huanwang Yang,<sup>5</sup> Jasmine Young,<sup>5</sup> Helen M. Berman,<sup>5</sup> Wah Chiu,<sup>3</sup> Gerard J. Kleywegt,<sup>20</sup> and Catherine L. Lawson<sup>5,\*</sup>

Henderson et al. (2012) Structure 20: 205-214



- Compare reference-free averages with projections

Henderson et al. (2012) Structure 20: 205-214

## Map validation <u>Re-projections and angular distribution</u>





- Compare reference-free averages with projections
  - only checks consistency of 3D map with 2D data
  - also check angle distribution
- Tilt-pair analysis

Henderson et al. (2012) Structure 20: 205-214

## Map validation <u>Tilt-pair analysis</u>



### Map validation <u>Tilt-pair analysis</u>

#### Tilt-pair parameter plot Tilt-pair phase residual plot 45° - 45° 0° 15° $30^{\circ}$ $-30^{\circ}$ - 15° TILTDIRECTION 90 degrees 45° 30° \* \*\* \* 15° \* 7 TILTDIRECTION 0° 180 degrees 0 degrees \* - 15° D TILTANGLE=30. - 30° TILTANGLE=40. 00 45° ► X 270 degrees

Rosenthal & Rubinstein (2015) Curr. Opin. Struct. Biol. 34: 135-144

## Map validation <u>Tilt-pair analysis</u>

#### Henderson et al. (2011) J. Mol. Biol. 413: 1028-1046

		Particle size	Molecular mass	Number of	Number of	Successful	Angular error (°)	
Specimen	Symmetry	(Å)	(MDa)	tilt pairs	particles	alignment (%)	Mean	Maximum
Rotavirus DLP	<i>I</i> 2	700	50	10	95	100/100	0.25	1.0
CAV	I2	255	2.7	1	45	62/82	2.5	3.5
70S ribosomes	C1	$270 \times 260$	2.6	12	220	45/75	4.0	5.0
FAS	D3	$260 \times 220$	2.6	2	44	59/95	4.0	6.0
PDH-E2CD	<i>I</i> 1	280	1.6	1	50	62/94	3.0	4.0
Thermus V-ATPase	C1	$250 \times 140$	0.6	1	50	54/80	10.0	16.0
<b>Bovine F-ATPase</b>	C1	$250 \times 140$	0.6	1	29	52/79	20.0	25.0
DNA-PKcs	C1	$150 \times 120$	0.47	14	108	44/81	15.0	17.0
β-Galactosidase	D2	$180\!\times\!130\!\times\!95$	0.45	2	119	74/91	10.0	14.0

Table 1. Overview of tilt-pair statistics

- determines whether overall 3D map is correct at 15-20 Å resolution (but not high-resolution features)
- allows determination of handedness
- can be used to refine parameters used for orientation determination  $\rightarrow$  can thus be used to improve the map
- validates orientation parameters (but not microscope parameters, i.e., defocus, magnification)

"If less than 60% of particles show a single cluster, the basis for poor orientation parameters should be investigated"

## Map validation <u>Tilt-pair web server</u>

#### Input



#### Output



#### Parameters:

-10

-20

Magnification	4.98 (effective: 9.96) A/px				
Defocus	58626 ; 59084				
Astigmatism	55.7				
Voltage	300 kV				
Resolution Range	100.0 - 30.0 A				
Tilt Range	30				
Particle radius	20 (effective: 10) px				
Optimized box size (after binning)	46				
Effective binning:	2				

#### Summary of the results for all submitted particles:

Minimal Phase Residual: 52.53°
Minimum at the position: 2.0°, 10.0°
Tilt axis (angle with respect to the X axis): 78.7°
Tilt angle: 10.2°
Hand Phase Difference: 12.48°
Average distance to the global minimum: 5.24°
Particles in the cluster $(0.5\sigma - 6.13^{\circ})$ near the minimum average phase residual:
1 2 4 5 7 8 9 10 13 14 16 17 18 20 21 22 25 26 27 28 29 30 31 34 35 43 44 46 47 48 49
Particles outside the cluster:
3 6 11 12 15 19 23 24 32 33 36 37 38 39 40 41 42 45
50

Wasilewski & Rosenthal (2014) J. Struct. Biol. 186: 122-131

#### http://www.ebi.ac.uk/pdbe/emdb/validation/tiltpair/

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<ul> <li>Statistics</li> </ul>	Tilt-pair validation analysis (Rosenth	al and Henderson, 2003) can be use	d to assess the accuracy of initial a	angle assignment in single-particle	e processing. To perform this analysis you		
Validation     EMDataBank	need to collect two corresponding s images. This server is based on the	ets of particle images - one untilted Tilt-pair server developed at MRC Nati	and the other tilted, then upload t onal Institute for Medical Research	he stacks of images along with a (Wasilewski and Rosenthal, 2014)	3D reconstruction based on the untilted		
• EMPIAR	Peter Rosenthal for their help in dev	eloping and testing the current server.					
<ul> <li>Test data</li> </ul>	can use to try out the service here.	We are still developing the server and	itaining Euler angles for individual p appreciate your <u>feedback</u> !	particles) in Spider or Frealign form	hat, we have some test data sets that you		
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<ul> <li>FTP archive</li> </ul>	Pixel size (Å):						
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<ul> <li>EMDB data model</li> </ul>	Tilt search range (degrees):	20 🕃 🧐					
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- Compare reference-free averages with projections
  - only checks consistency of 3D map with 2D data
  - also check angle distribution
- Tilt-pair analysis
  - excellent, also establishes handedness
- "Gold standard" FSC
  - not necessarily needed (but now pretty much default)
- Randomize phases

Henderson et al. (2012) Structure 20: 205-214

## Map validation Randomize phases

Rosenthal & Rubinstein (2015) *Curr. Opin. Struct. Biol.* <u>34</u>: 135-144 Chen *et al.* (2013) *Ultramicroscopy* <u>135</u>: 24-35

- Do single-particle reconstruction / refinement
- Determine resolution (FSC)
- Take raw data, randomize phases beyond which  $FSC_{\rm T}$  falls below a threshold (75 or 80%)
- Redo the same analysis and recalculate FSC curve
- Any signal in region of randomized phases indicates issues
   with noise alignment in that region
- Can be implemented in any package

#### Map validation Randomize phases

Rosenthal & Rubinstein (2015) *Curr. Opin. Struct. Biol.* <u>34</u>: 135-144 Chen *et al.* (2013) *Ultramicroscopy* <u>135</u>: 24-35



**FSC** signal due to over-fitting (noise)

**FSC** signal due to true structural information



- Compare reference-free averages with projections
  - only checks consistency of 3D map with 2D data
  - also check angle distribution
- Tilt-pair analysis
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  - not necessarily needed (but now pretty much default)
- Randomize phases
  - excellent (implemented in software packages)
- Appearance of expected secondary structure elements

## Map validation Expected secondary structure



Samso et al. (2009) PLoS Biol. 7: e1000085

- Compare reference-free averages with projections
  - only checks consistency of 3D map with 2D data
  - also check angle distribution
- Tilt-pair analysis
  - excellent, also establishes handedness
- "Gold standard" FSC
  - not necessarily needed (but now pretty much default)
- Randomize phases
  - excellent (implemented in software packages)
- Appearance of expected secondary structure elements
- Evaluate with published information

Henderson *et al.* (2012) *Structure* <u>20</u>: 205-214

#### Evaluation with published information



- Compare reference-free averages with projections
  - only checks consistency of 3D map with 2D data
  - also check angle distribution
- Tilt-pair analysis
  - excellent, also establishes handedness
- "Gold standard" FSC
  - not necessarily needed (but now pretty much default)
- Randomize phases
  - excellent (implemented in software packages)
- Appearance of expected secondary structure elements
- Evaluate with published information pull-down experiments
- yeast two-hybrid analysis
  - cross-link mass spectrometry
- Dock known atomic structures into map

Henderson et al. (2012) Structure 20: 205-214

## Map validation Docking of atomic models



Map validation Docking of atomic models



*Biol. Chem.* <u>387</u>: 179-187

*JMB* <u>344</u>: 435-442

Map validation Docking of atomic models



*Biol. Chem.* <u>387</u>: 179-187

Nakegawa (2019) *Science* <u>366</u>: 1259-1263

# Map validation – IP3 receptor Different maps of the IP3 receptor



# Map validation – IP3 receptor New density map in 2011 at 11 Å resolution



Ludtke et al. (2011) Structure 19: 1192-1199

# Map validation – IP3 receptor Expected secondary structure elements



Ludtke et al. (2011) Structure 19: 1192-1199

# Map validation – IP3 receptor <u>Comparison of reference-free averages with projections</u>

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- A: Map projection
- B: Reference-based class average
- C: Reference-free class average
- D: Selected particles

Murray et al. (2013) Structure 21: 900-909

# Map validation – IP3 receptor <u>Tilt pair test</u>



Murray et al. (2013) Structure 21: 900-909

# Map validation – IP3 receptor <u>Comparison of maps from different programs</u>



# Map validation – IP3 receptor <u>4.7 Å resolution structure (2015)</u>



Fan et al. (2015) Nature 527: 336-341

# Map validation – IP3 receptor 3.5 Å resolution structure (2018)



Paknejad & Hite (2018) Nat. Struct. Mol. Biol. 25: 660-668

# Map validation – the 2016 map challenge

Develop benchmark datasets, encourage development of best practices, evolve criteria for evaluation and validation, compare and contrast different approaches

target	1. GroEL in silico	2. T20S Proteasome	3. Apo- Ferritin	4. TRPV1 Channel	5. 80S Ribosome	6. Brome Mosaic Virus	7. β- Galactosidase
Reference EMDB map entry		EMD-6287	EMD-2788	EMD-5778	EMD-2660	EMD-6000	EMD-5995
Primary Citation	Vulovic et	Campbell et	Russo &	Liao et al	Wong et al	Wang et al	Bartesaghi
Reported Resolution (Å)	~3	2.8	4.7	3.3	3.2	3.8	3.2

7 datasets: rigid particles that should be easy to reconstruct

Input are raw cryo-EM data (from EMPIAR)

 $\rightarrow$  27 members of the community submitted 66 maps

Assessors devised a range of analyses to evaluate the submitted maps, including visual impressions, Fourier shell correlation, pairwise similarity, and interpretation through modeling

December 2018 Special Issue of *J. Struct. Biol.* with contributions regarding the map and model challenges (Lawson & Chiu, Heymann *et al.*)

# Map validation – the 2016 map challenge

Develop benchmark datasets, encourage development of best practices, evolve criteria for evaluation and validation, compare and contrast different approaches


## Map validation – the 2016 map challenge

Develop benchmark datasets, encourage development of best practices, evolve criteria for evaluation and validation, compare and contrast different approaches

Assessors found no strong trends.

No strong relationship between map quality and used software package or workflow.

The user's choices determine the map quality.

Future focus should be on promulgating best practices

processing of independent sets proper resolution-limited alignment, appropriate masking and map sharpening

and encapsulating these in the software.

Note that the maps had different qualities/resolutions, BUT NONE WAS COMPLETELY WRONG !