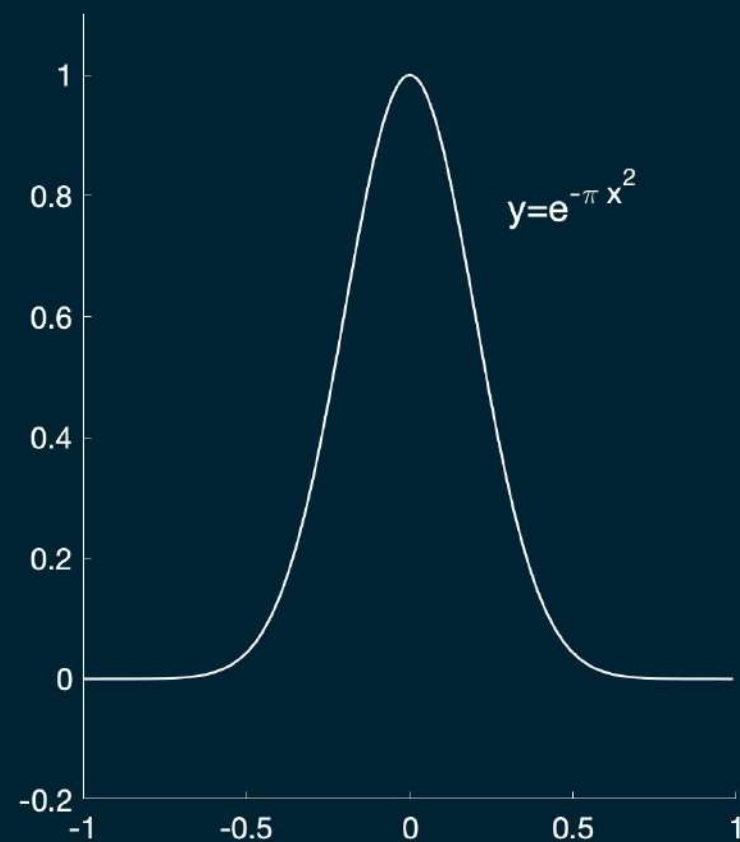


Cryo-EM Principles

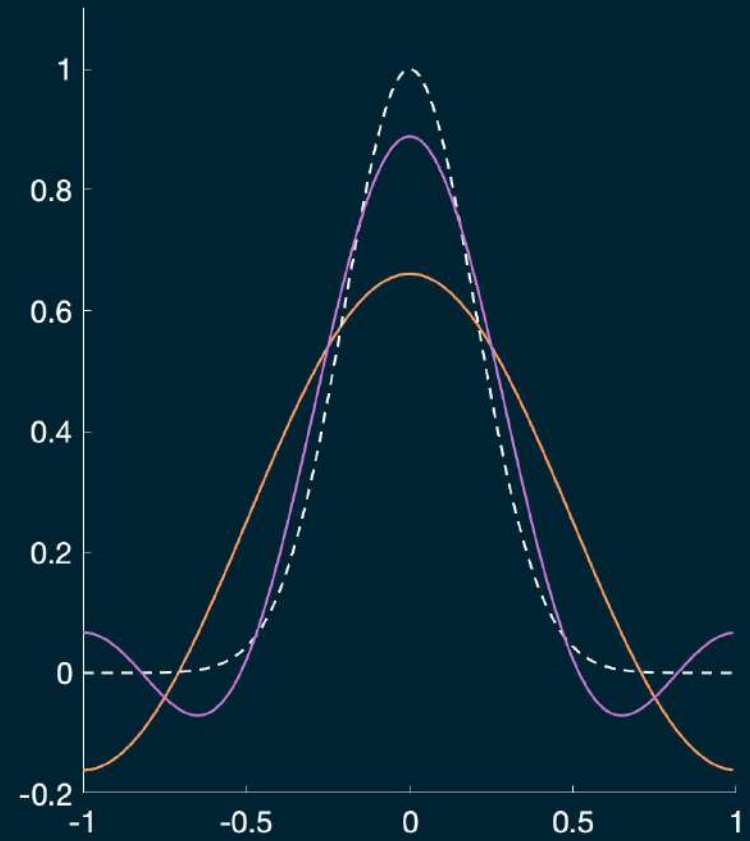
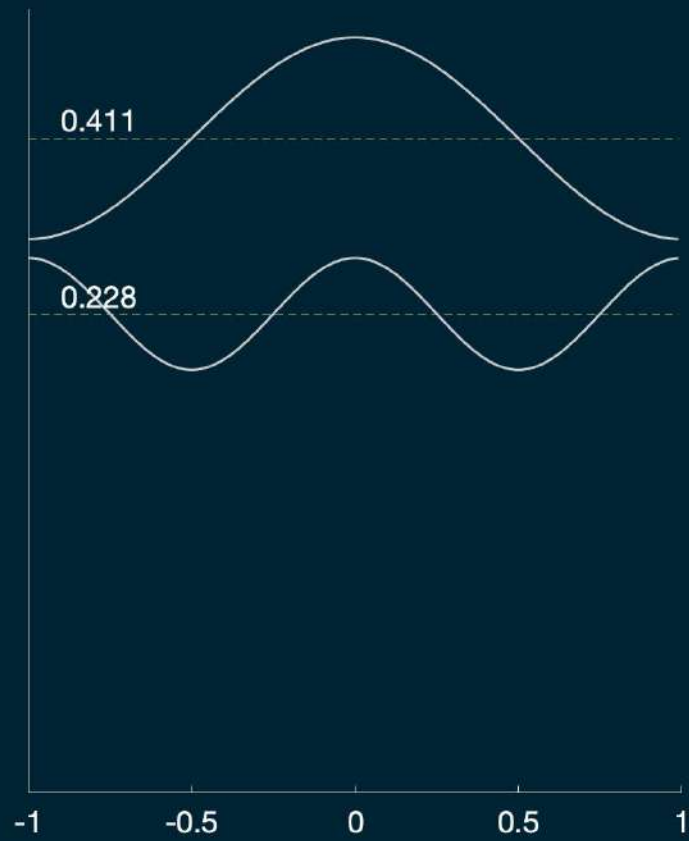
The Fourier Transform in One and More Dimensions

Fred Sigworth
Yale University

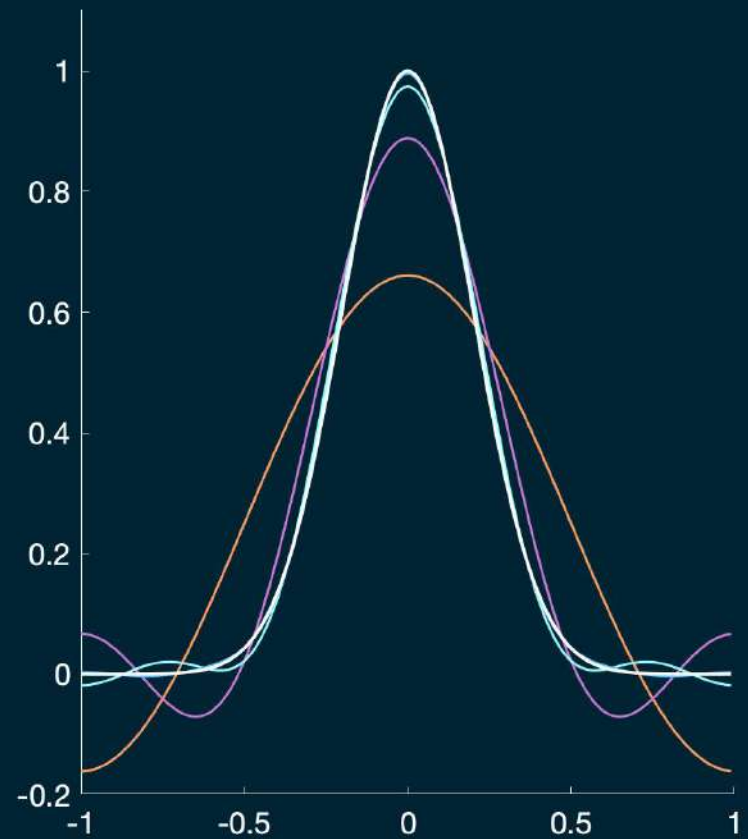
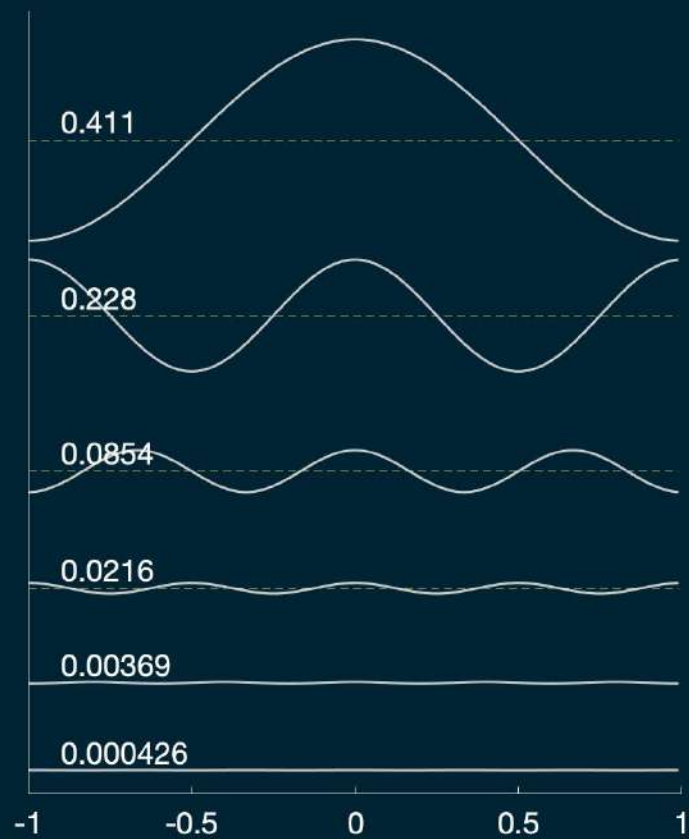
Fourier reconstruction of a Gaussian function



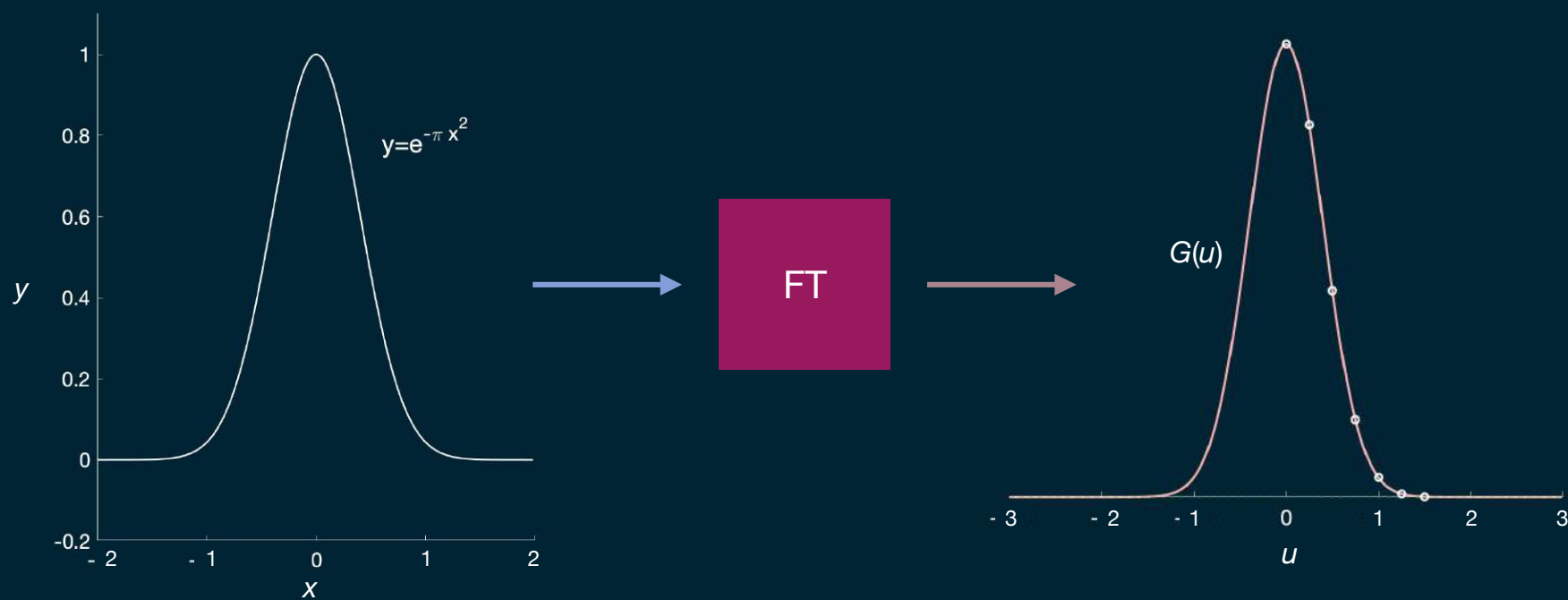
2 terms



“Converged” at 6 terms



The Fourier Transform gives us the coefficients



The formulas

Fourier transform

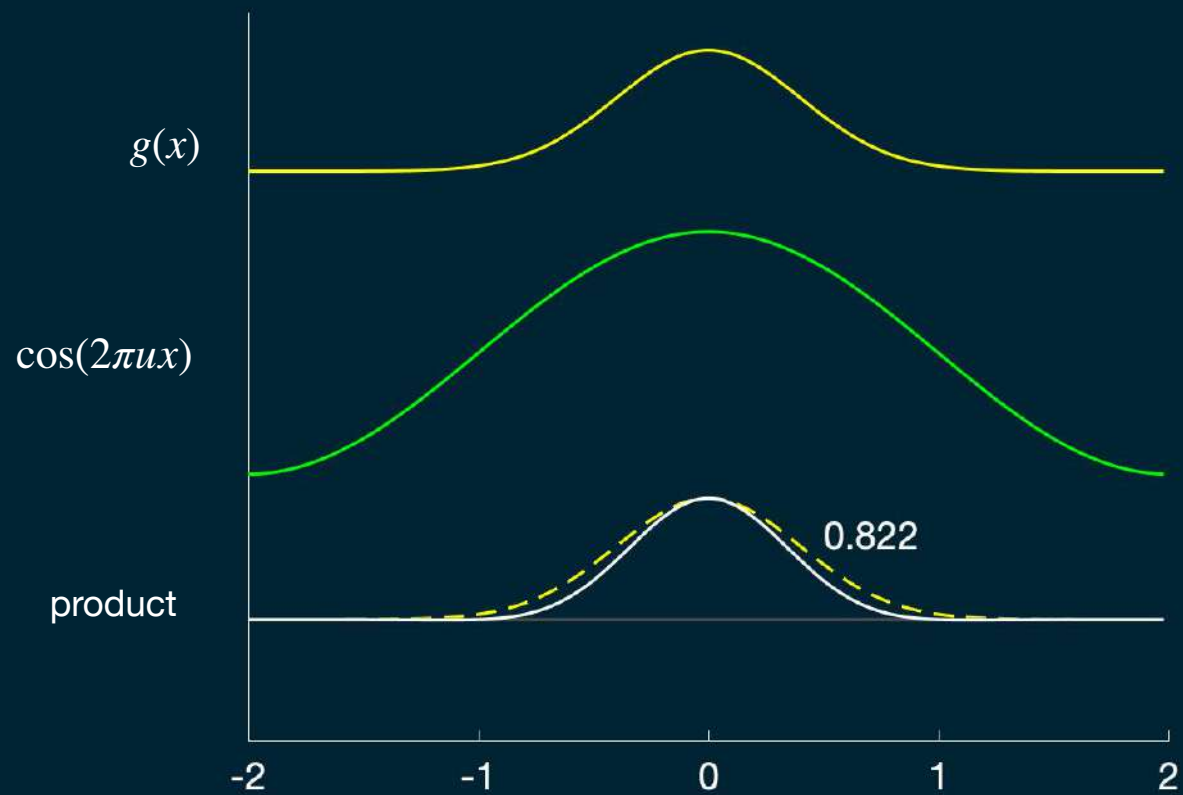
$$G(u) = \int g(x) e^{-i2\pi ux} dx$$

Example: $g(x) = e^{-\pi x^2}$

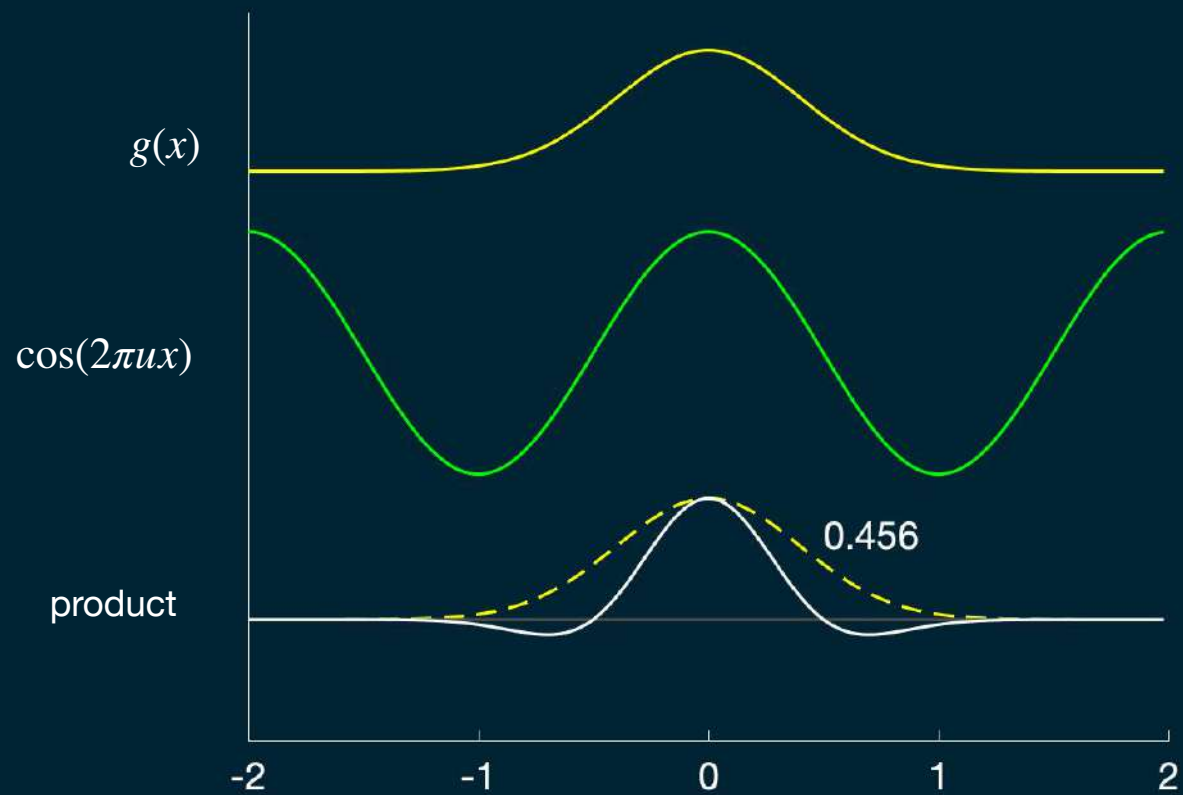
Inverse Fourier transform

$$g(x) = \int G(u) e^{+i2\pi ux} du$$

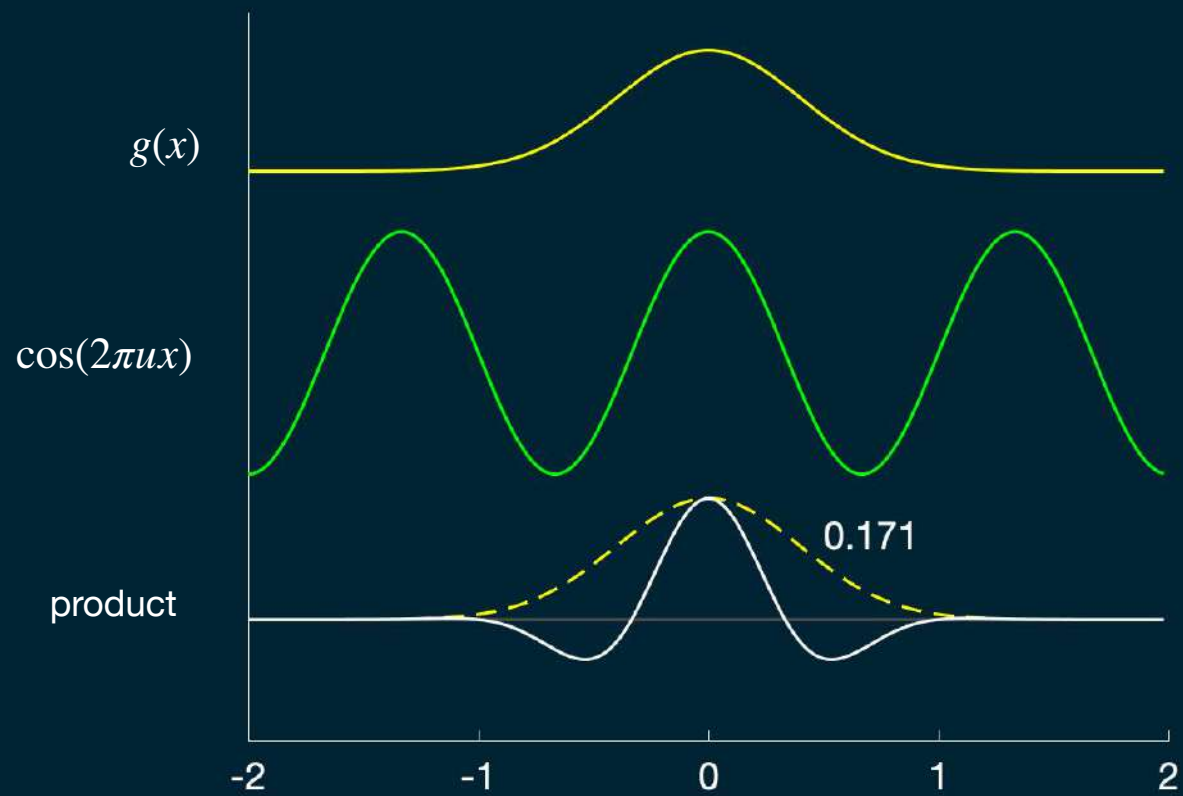
Computing $G(u)$ at $u = 1$



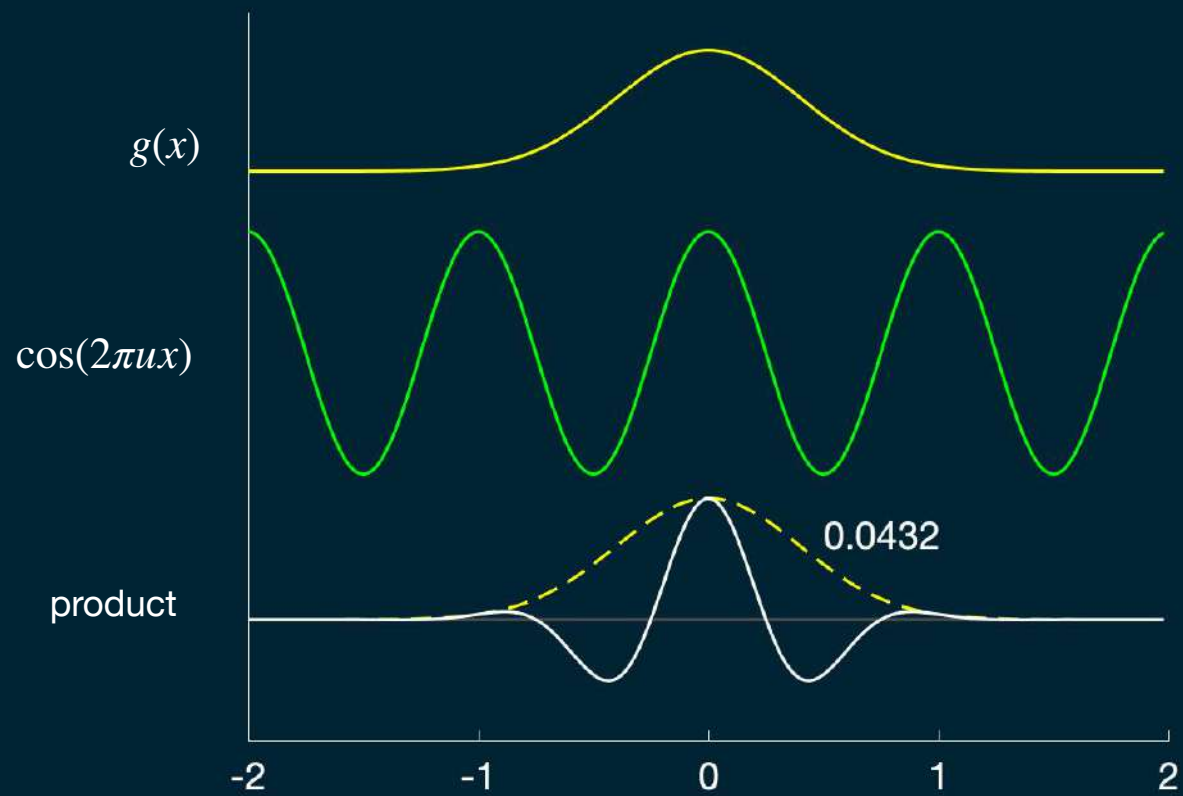
$$u=2$$



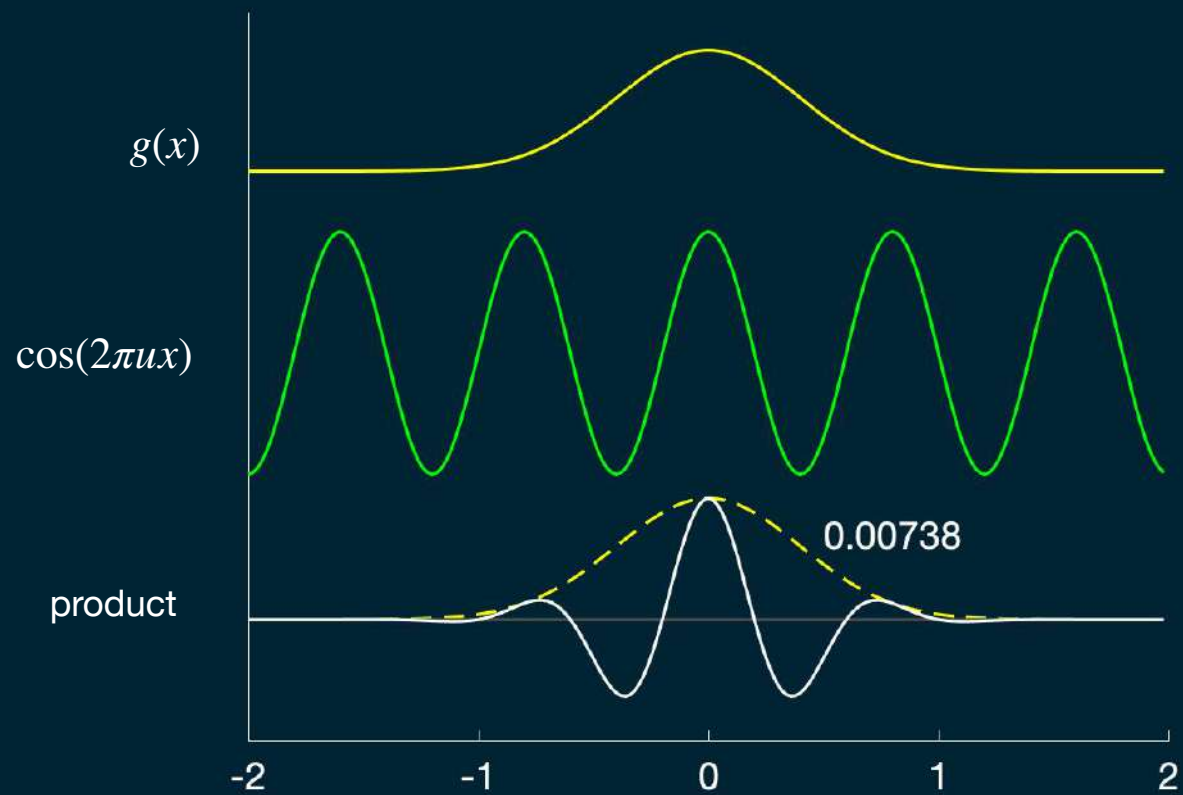
$$u=3$$



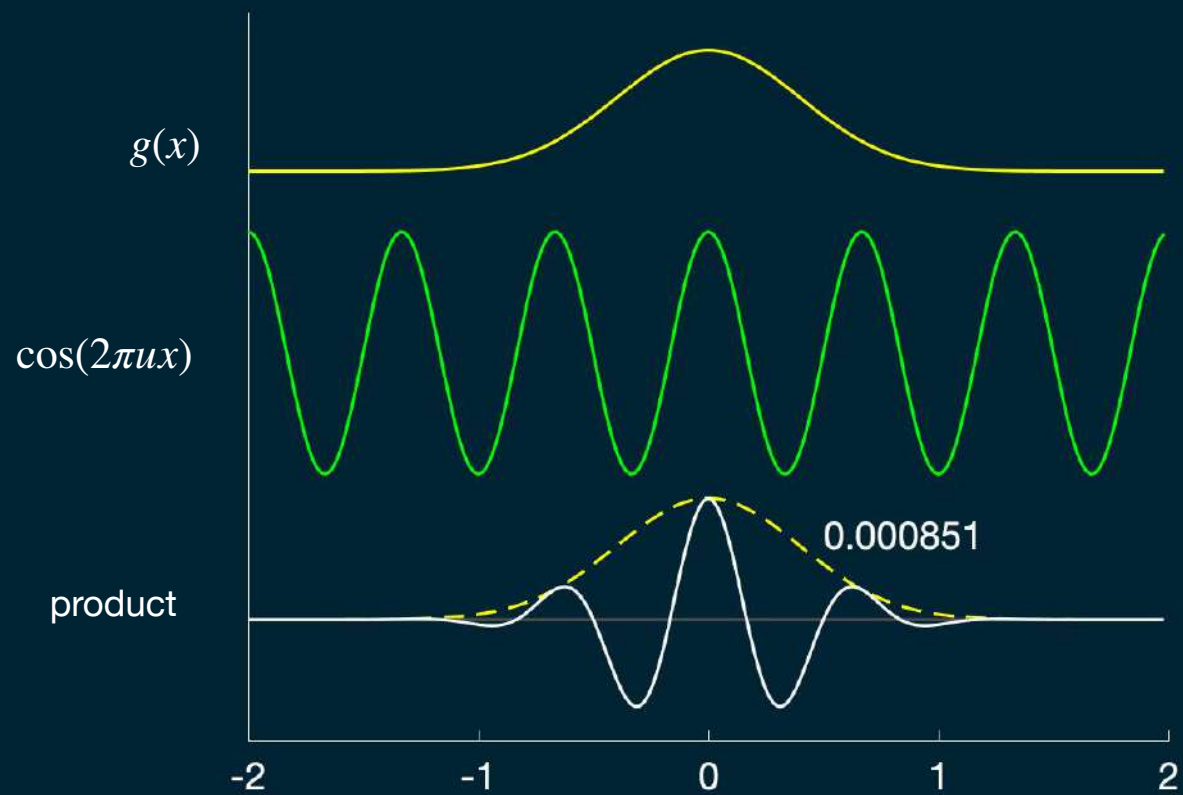
$$u=4$$



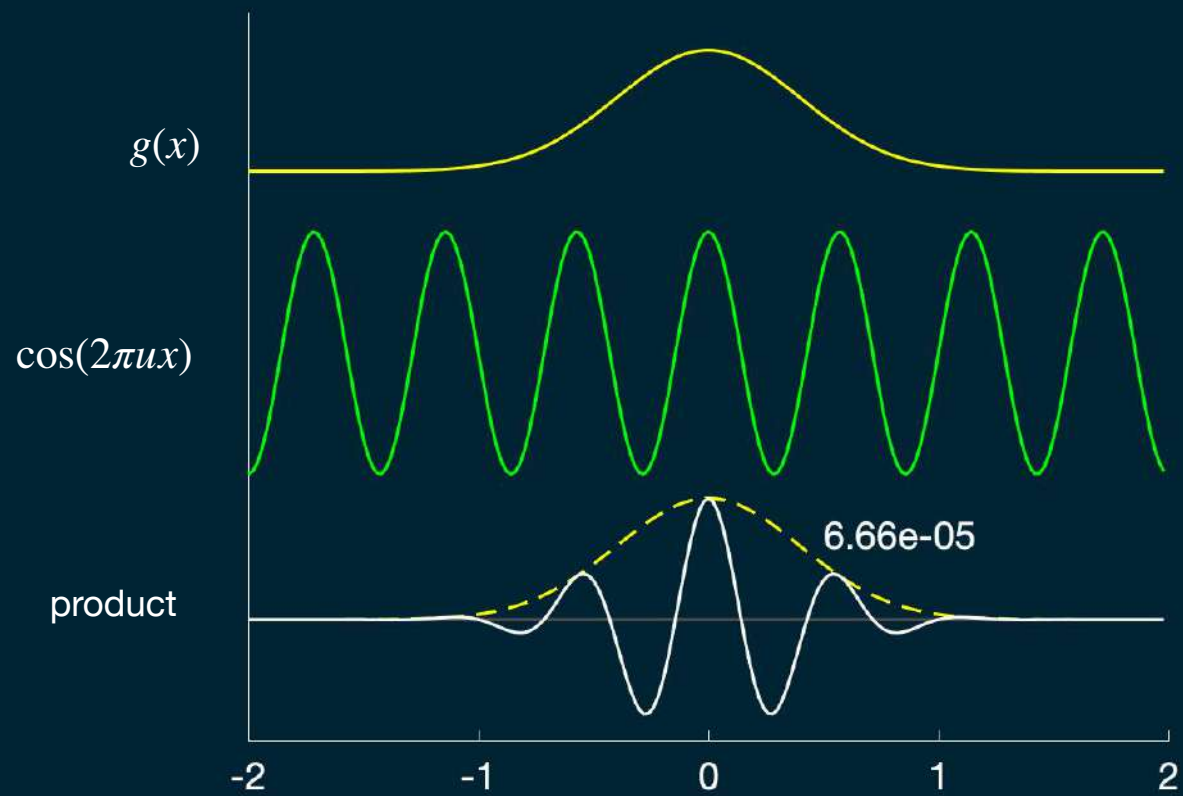
$u=5$



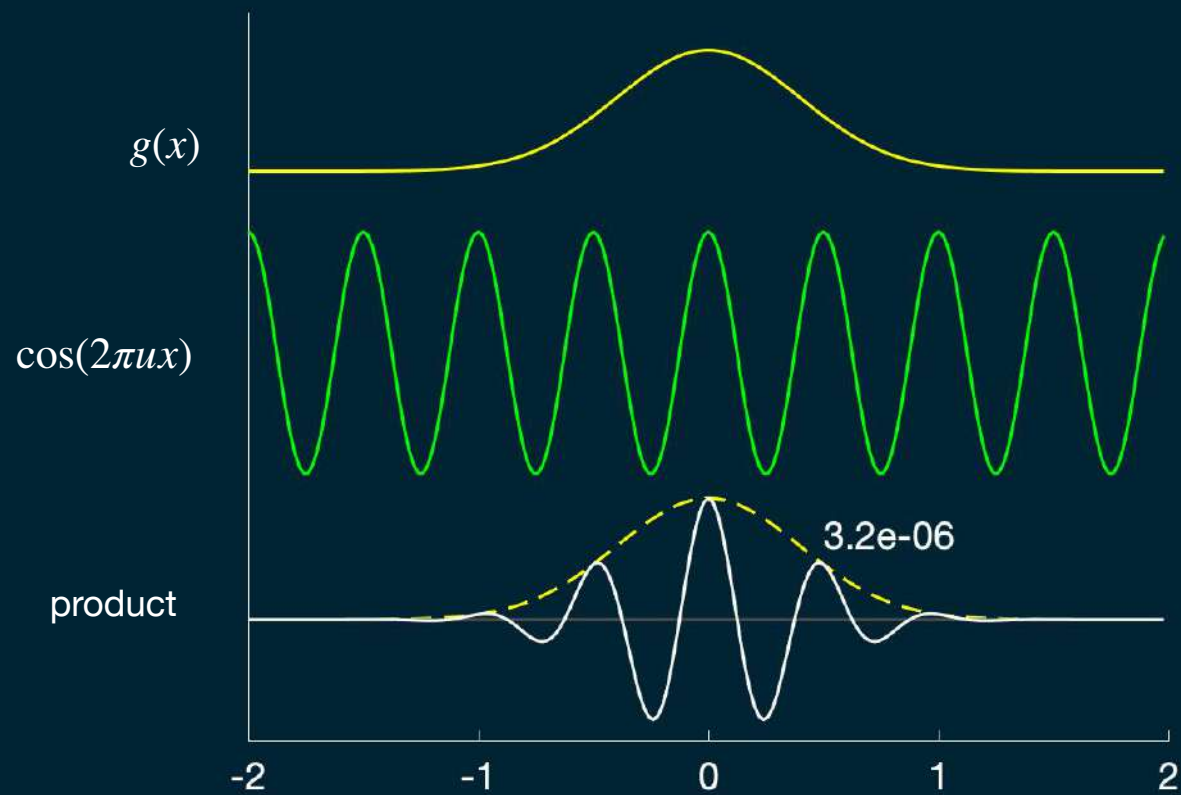
$$u=6$$



$$u=7$$



At $u = 8$, $G(u)$ is really small.



The Fourier transform of $e^{-\pi x^2}$ is $e^{-\pi u^2}$

$$\begin{aligned} G(u) &= \int_{-\infty}^{\infty} e^{-\pi x^2} e^{-i2\pi ux} dx \\ &= \int_{-\infty}^{\infty} e^{-\pi(x^2 + i2ux)} dx \end{aligned}$$

This integral can be evaluated by completing the square in the exponent,

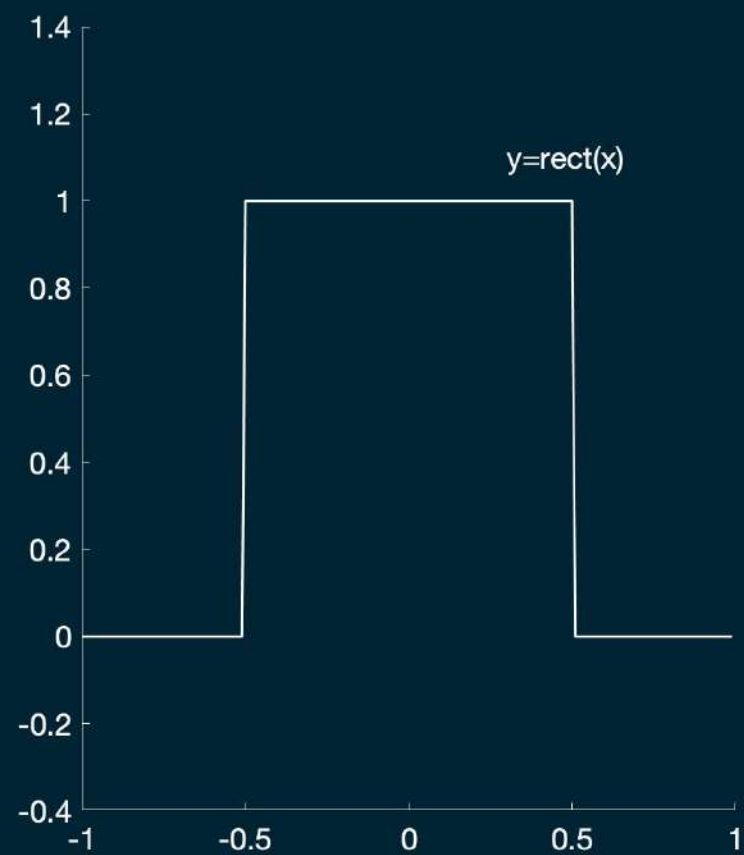
$$\begin{aligned} G(u) &= \int_{-\infty}^{\infty} e^{-\pi(x^2 + i2ux - u^2)} dx \cdot e^{-\pi u^2} \\ &= \int_{-\infty}^{\infty} e^{-\pi(x+iu)^2} dx \cdot e^{-\pi u^2} \end{aligned}$$

This integral = 1

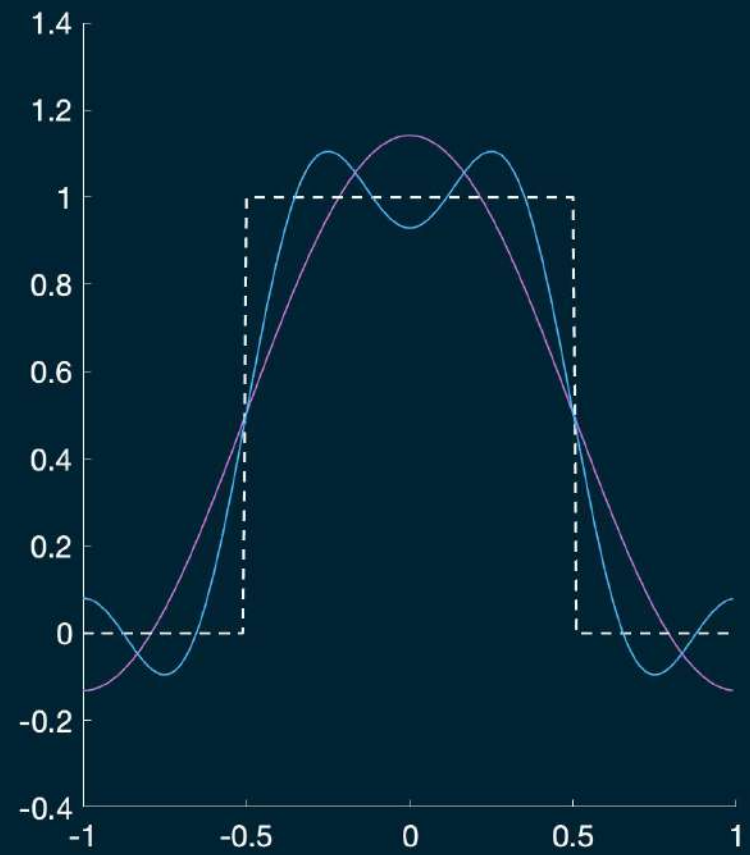
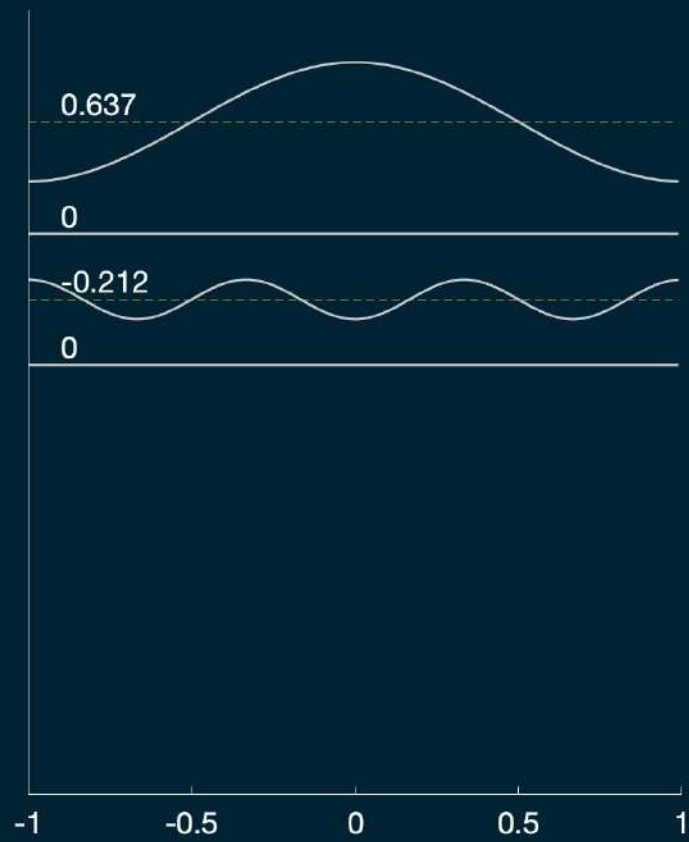
The final result is that

$$G(u) = e^{-\pi u^2}$$

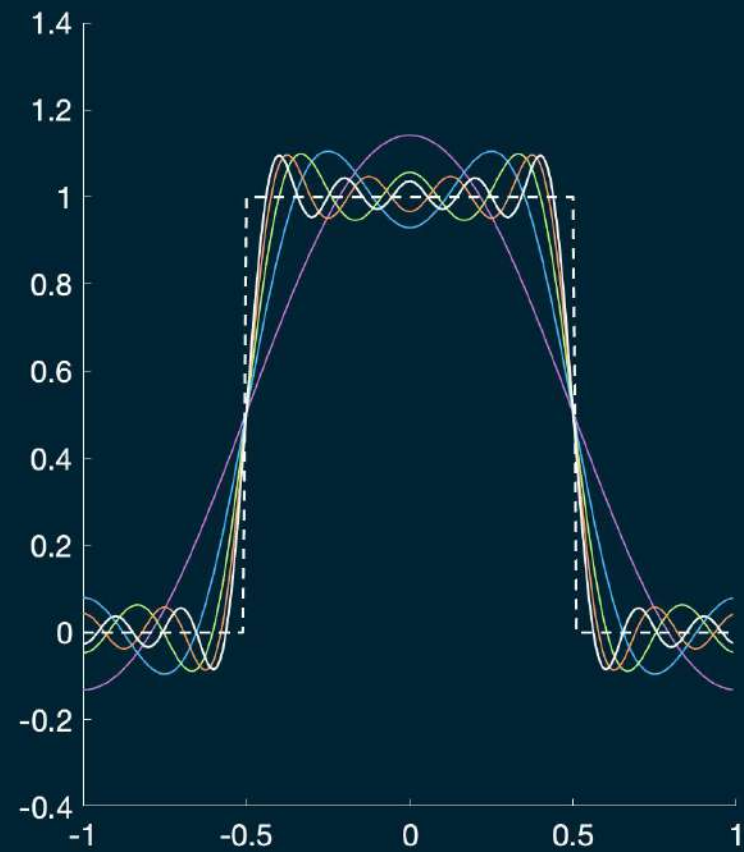
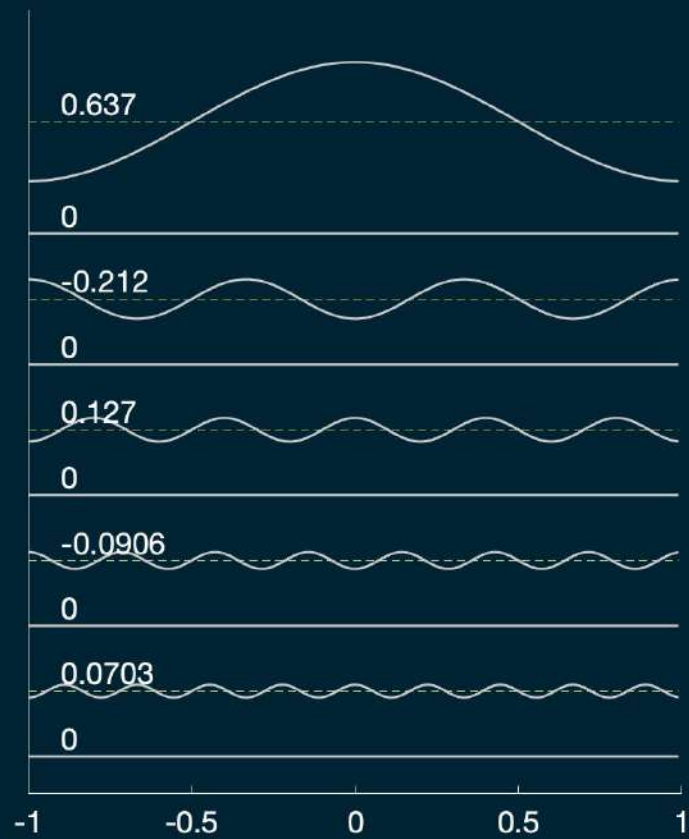
Fourier reconstruction of a rectangular function



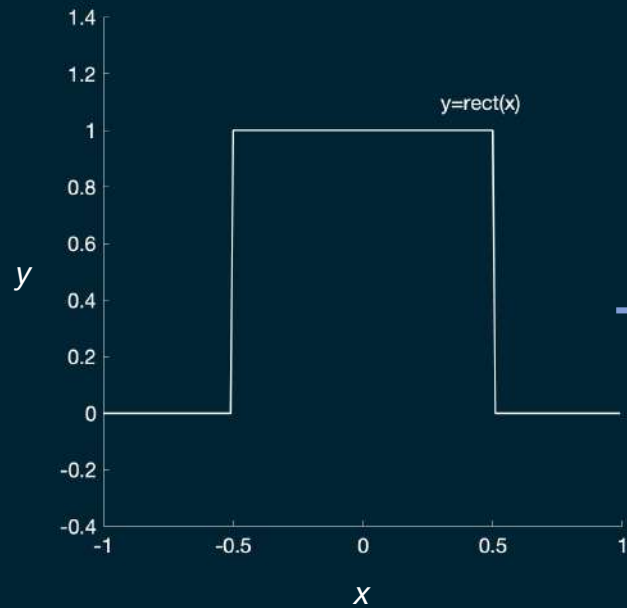
4 terms



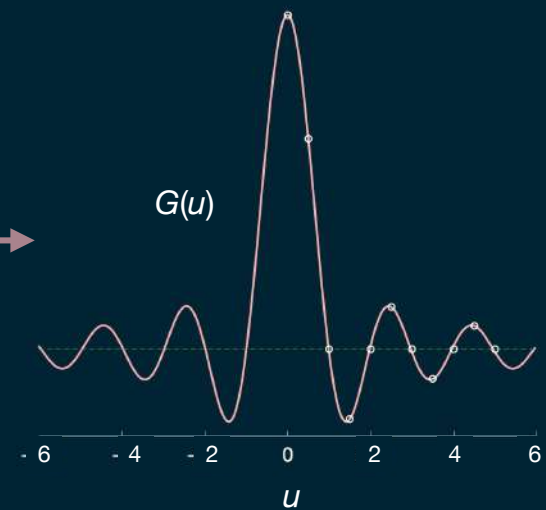
Nowhere near convergence at 10 terms



The Fourier Transform of $\text{rect}(x)$ is $\text{sinc}(u)$



FT

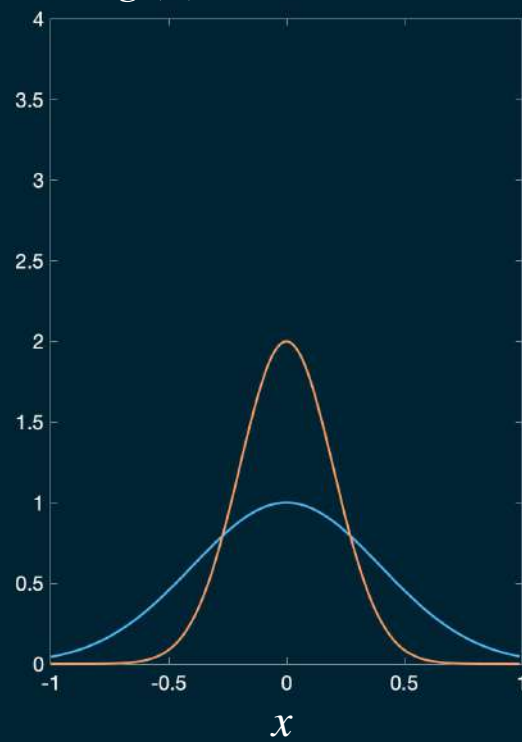


$$\text{rect}(x) \rightarrow \frac{\sin(\pi u)}{\pi u}$$

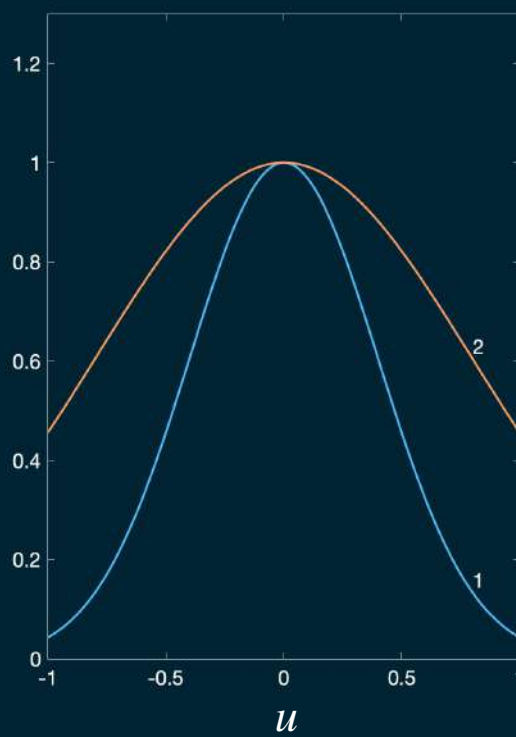
$$\frac{\sin(\pi u)}{\pi u} \text{ is also known as: } \text{sinc}(u)$$

Reciprocal scaling of FT pairs

$$g(x) = ae^{-\pi(ax)^2}$$



$$G(u) = e^{-\pi(u/a)^2}$$



The scale property

If $g(x) = e^{-\pi x^2} \rightarrow G(u) = e^{-\pi u^2}$

what is the FT of $g_a(x) = ae^{-\pi(ax)^2}$?

The FT is:

$$G_a(u) = \int ae^{-\pi(ax)^2} e^{-i2\pi ux} dx.$$

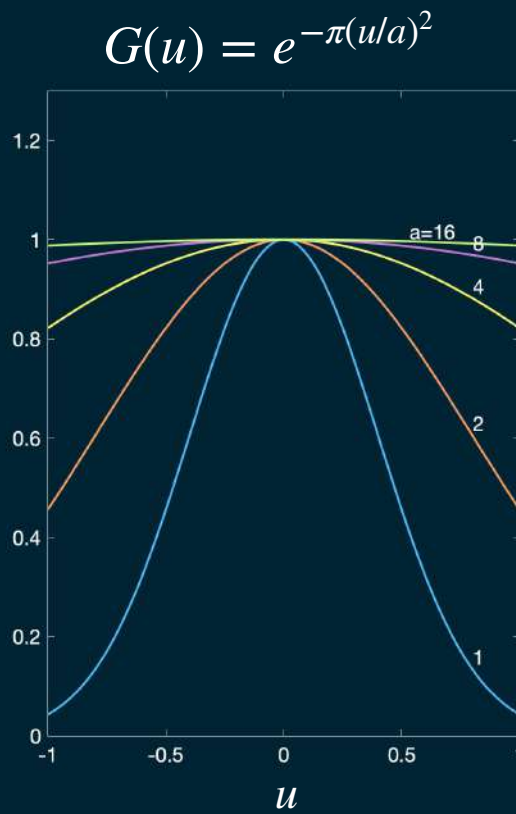
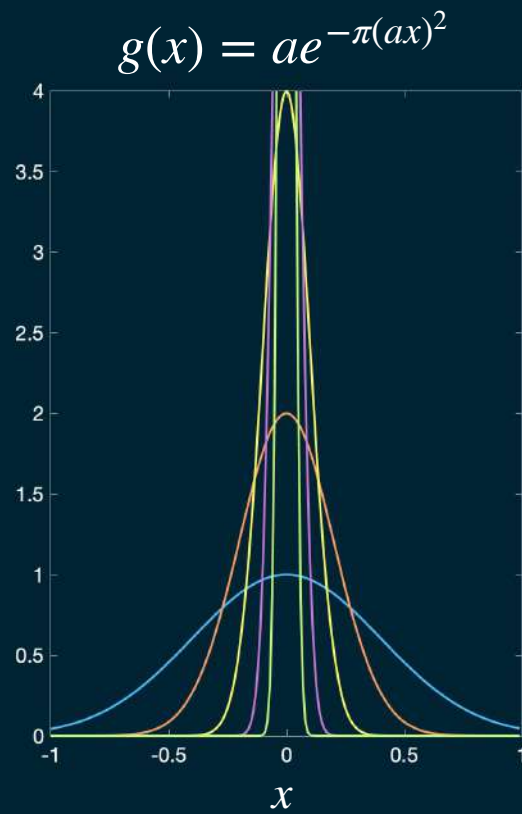
Let $x' = ax$ and $x = x'/a$:

$$\begin{aligned} G_a(u) &= \int e^{-\pi x'^2} e^{-i2\pi ux'/a} dx \\ &= G(u/a) \end{aligned}$$

In general,

$$ag(ax) \rightarrow G(u/a)$$

Reciprocal scaling of FT pairs



Scale property

$$ag(ax) \rightarrow G(u/a)$$

Delta function

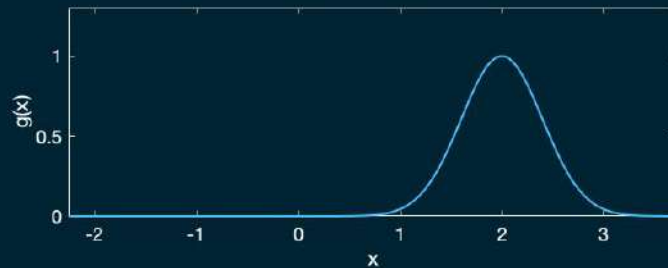
$$\delta(x) = \lim_{a \rightarrow \infty} ae^{-\pi(ax)^2}$$

FT Pair

$$\delta(x) \rightarrow 1$$

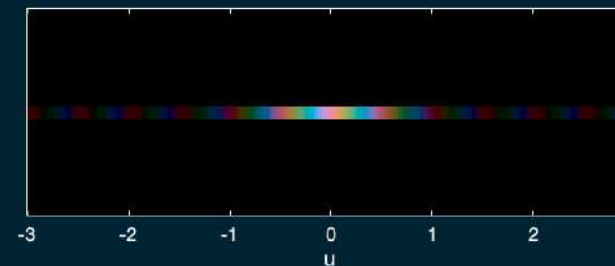
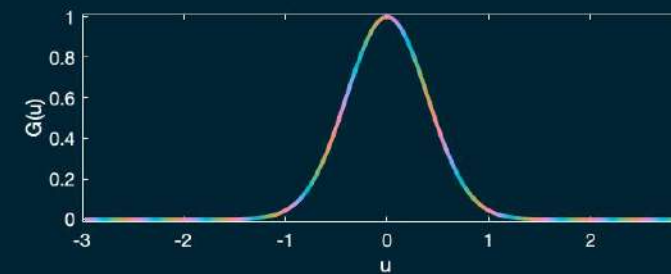
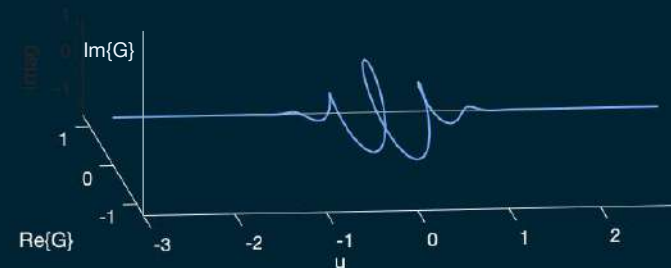
The shift property

$$g(x) = e^{-\pi(x-b)^2}$$



$$G(u) = e^{-\pi u^2} e^{-i2\pi u b}$$

...three visualizations:



The shift property

$$G(u) = \int e^{-\pi(x-b)^2} e^{-i2\pi ux} dx$$

Let

$$\begin{aligned} x' &= x - b, \\ x &= x' + b, \text{ and} \\ e^{-i2\pi u(x+b)} &= e^{-i2\pi ux'} e^{-i2\pi ub}. \end{aligned}$$

Then

$$G(u) = e^{-i2\pi ub} \int e^{-\pi(x')^2} e^{-i2\pi ux'} dx'$$

In general,

$$g(x - b) \rightarrow G(u) e^{-i2\pi ub}$$

Convolution

$$f(x) = g * h = \int g(s)h(x-s)ds$$

Its FT is

$$F(u) = \iint g(s)h(x-s)e^{-i2\pi ux}ds dx.$$

Let

$$x' = x - s,$$

$$x = x' + s, \text{ and}$$

$$e^{-i2\pi(x'+s)} = e^{-i2\pi us} e^{-i2\pi ux'},$$

then

$$F(u) = \int g(s)e^{-i2\pi us}ds \int h(x')e^{-i2\pi ux'}dx'$$

Hence,

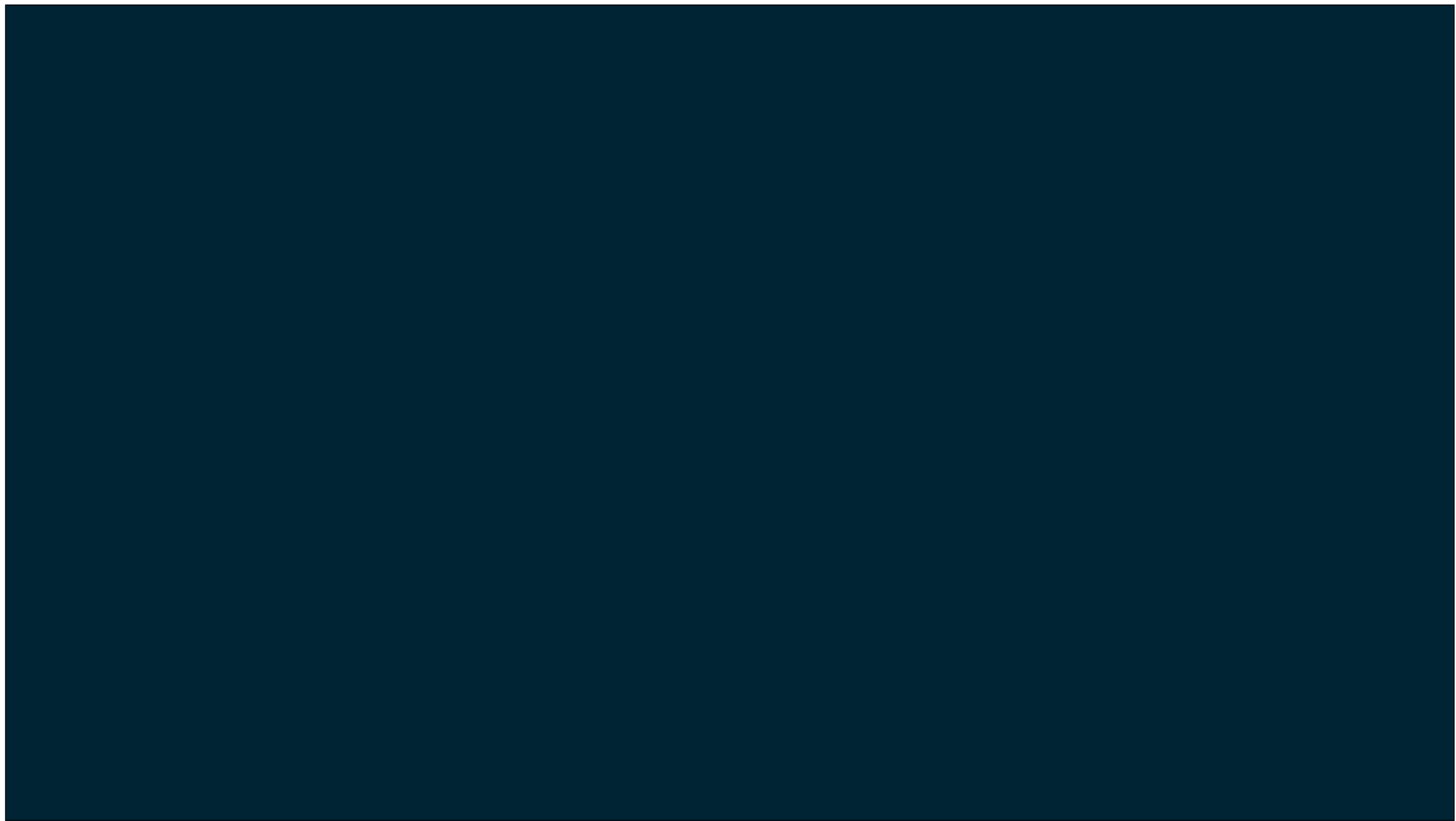
$$F(u) = G(u)H(u)$$

Fourier transform pairs

$$e^{-\pi x^2} \rightarrow e^{-\pi u^2}$$

$$\text{rect}(x) \rightarrow \frac{\sin(\pi u)}{\pi u}$$

$$\delta(x) \rightarrow 1$$



1D Fourier transform properties

$$g(x) + h(x) \rightarrow G(x) + H(x) \quad \text{Linearity}$$

$$ag(ax) \rightarrow G(u/a) \quad \text{Scale}$$

$$g(x - b) \rightarrow G(u)e^{-i2\pi ub} \quad \text{Shift}$$

$$g \star h \rightarrow G(u)H(u) \quad \text{Convolution}$$

Summary

Fourier transform

$$G(u) = \int g(x) e^{-i2\pi ux} dx$$

Inverse Fourier transform

$$g(x) = \int G(u) e^{+i2\pi ux} du$$

FT Pairs

$$e^{-\pi x^2} \rightarrow e^{-\pi u^2}$$

$$\text{rect}(x) \rightarrow \frac{\sin(\pi u)}{\pi u}$$

$$\delta(x) \rightarrow 1$$

FT Properties

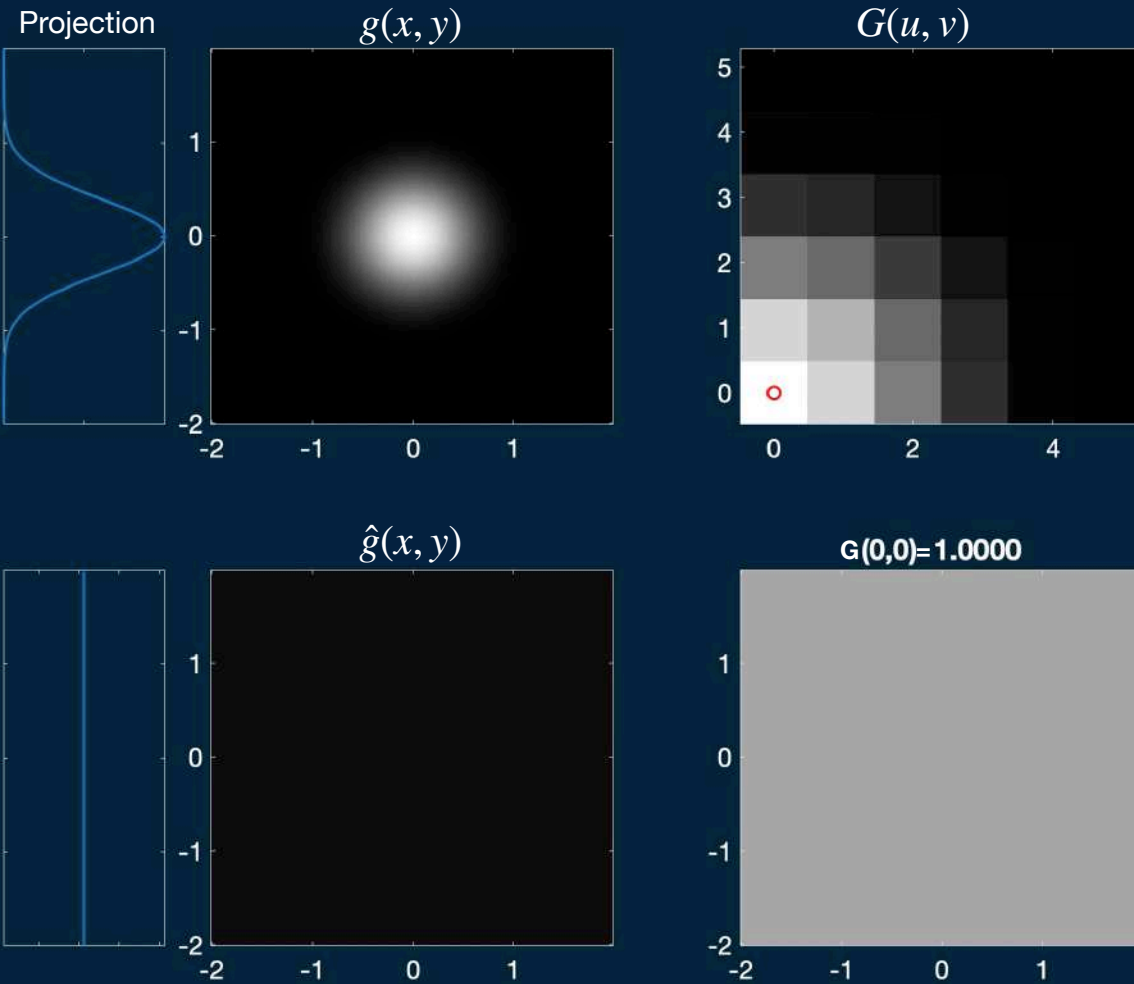
$$g(x) + h(x) \rightarrow G(x) + H(x) \quad \text{Linearity}$$

$$ag(ax) \rightarrow G(u/a) \quad \text{Scale}$$

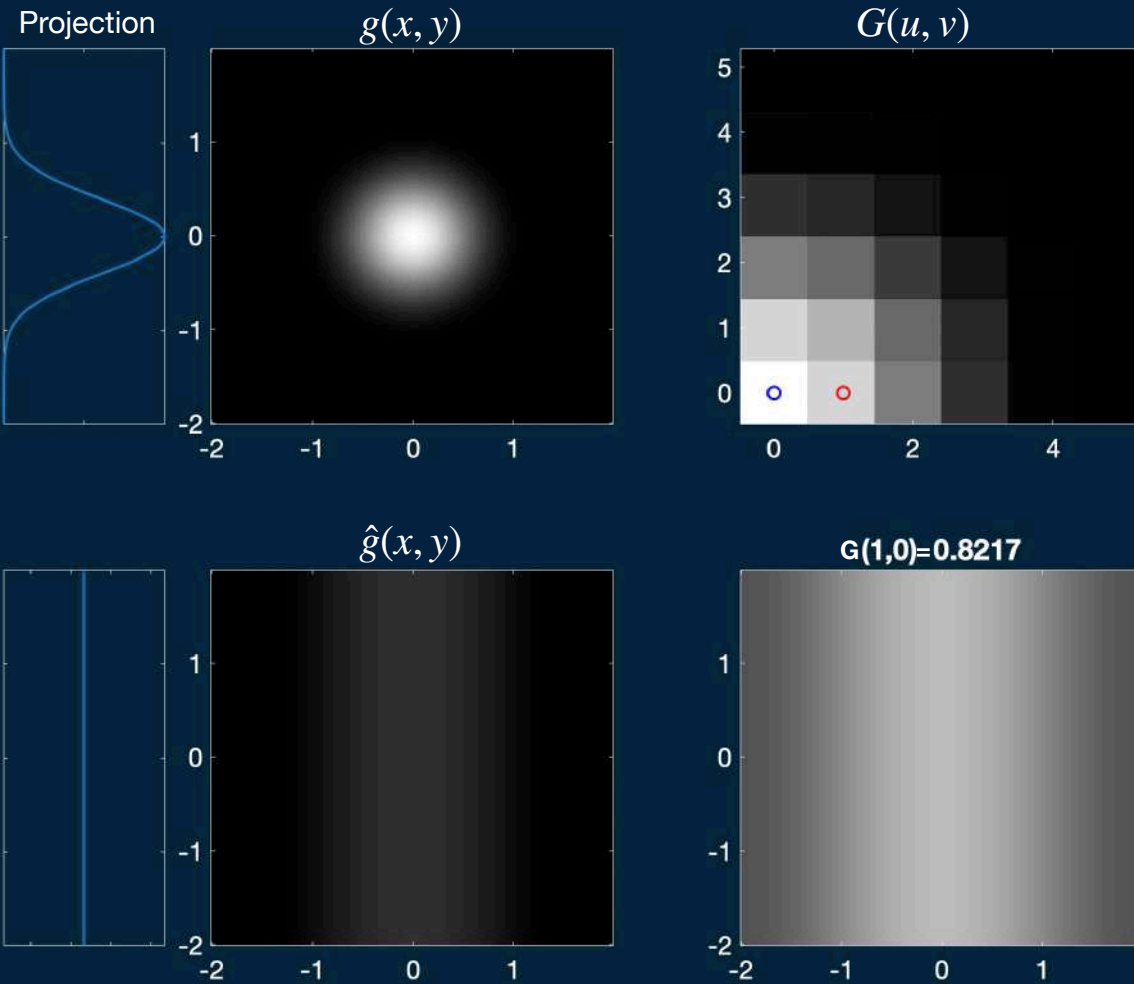
$$g(x - b) \rightarrow G(u) e^{-i2\pi ub} \quad \text{Shift}$$

The Fourier transform in two dimensions

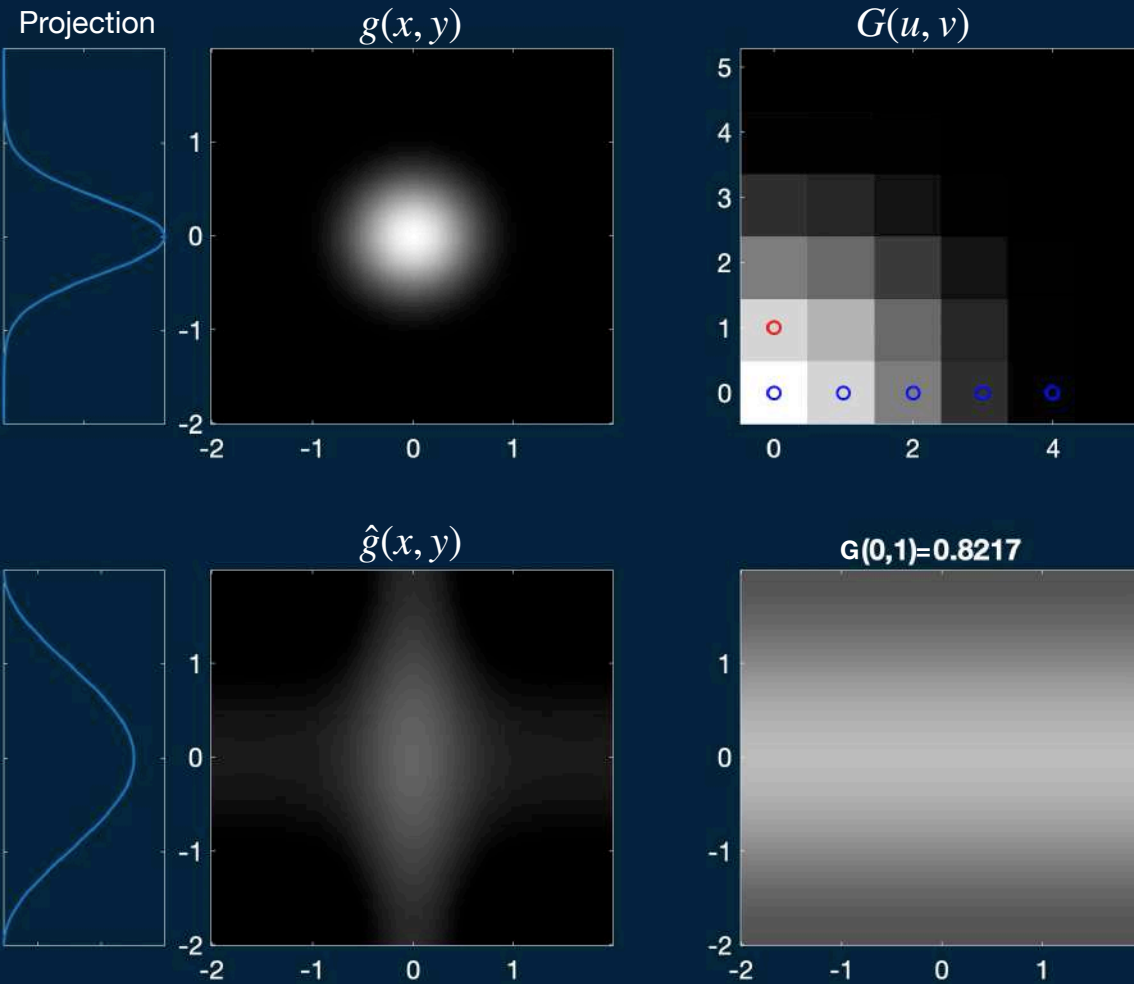
Fourier reconstruction of a 2D Gaussian function



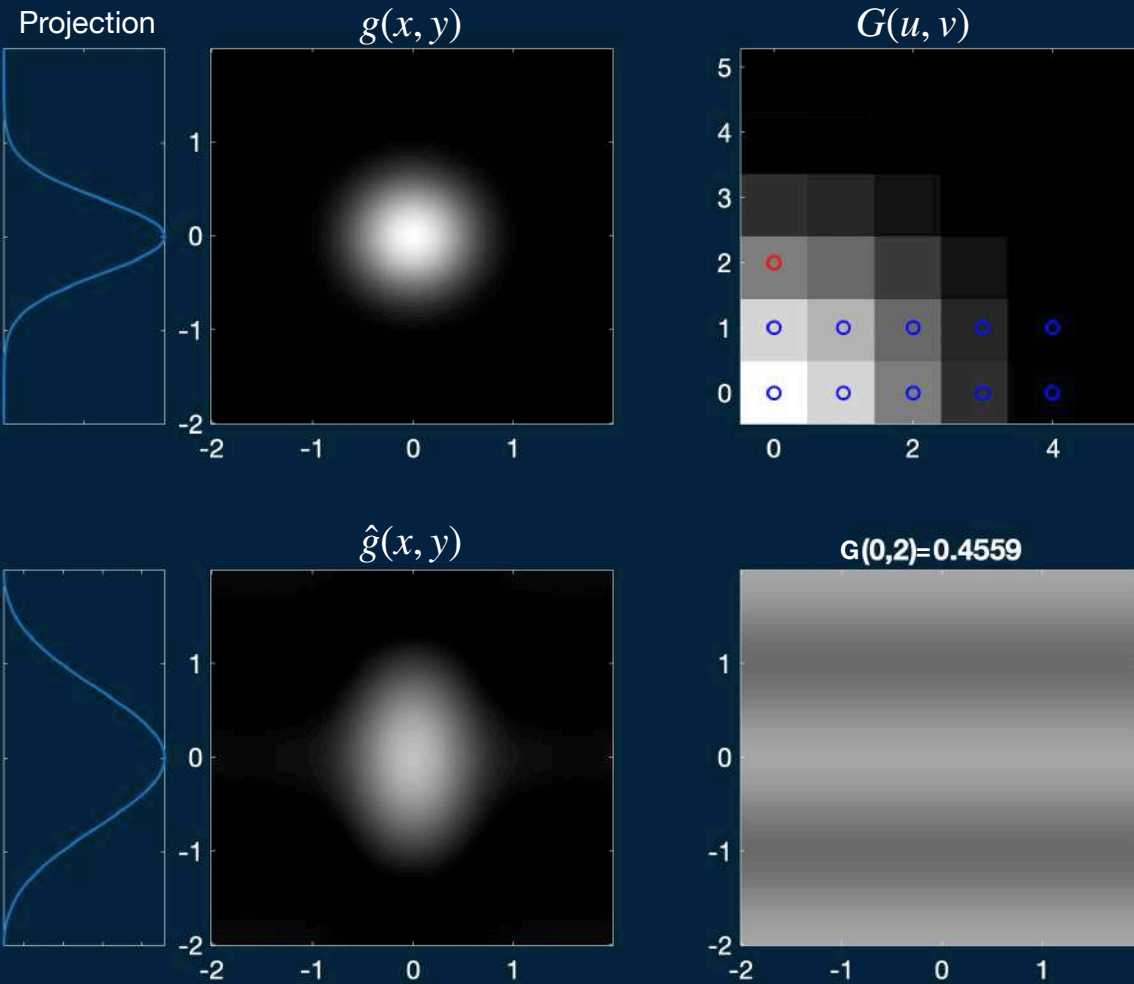
Fourier reconstruction of a 2D Gaussian function



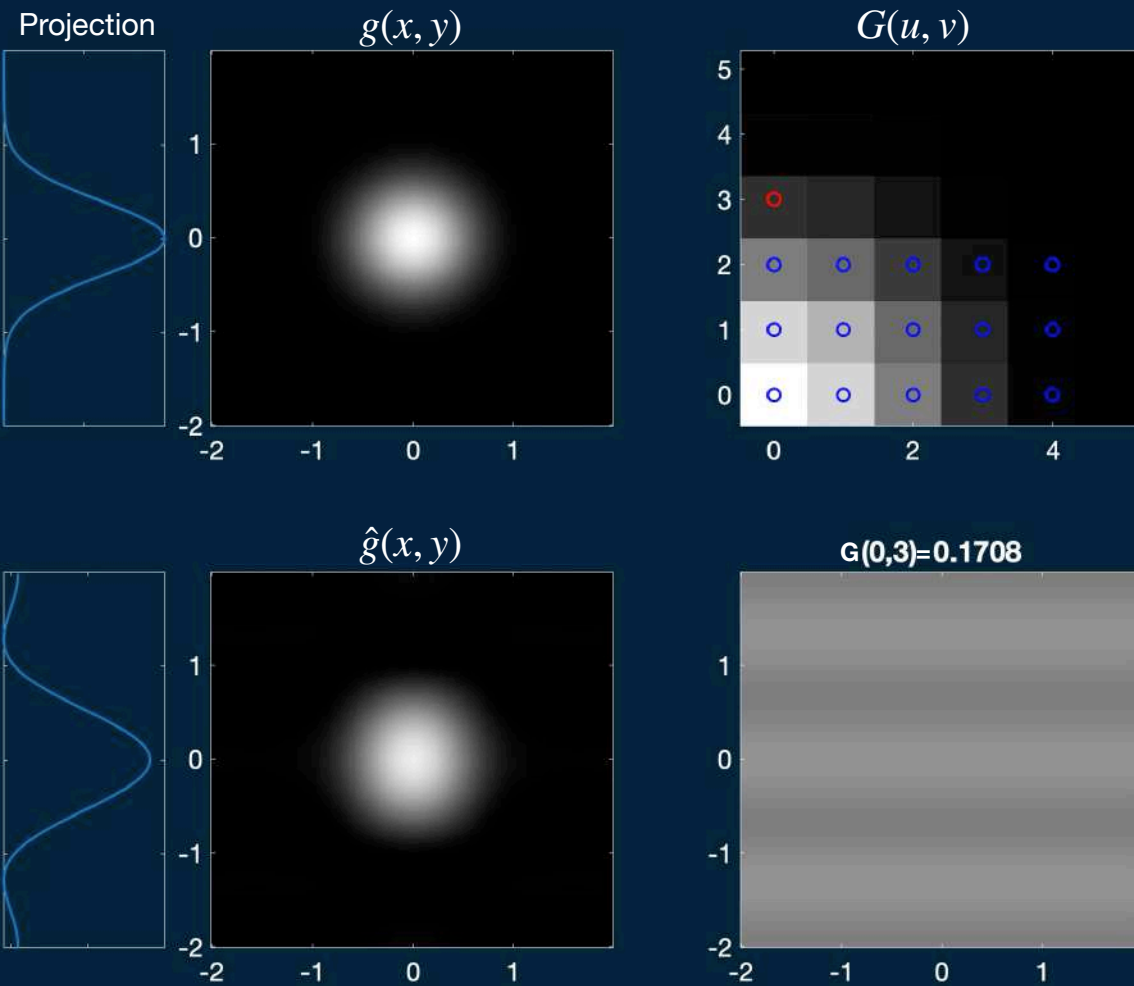
Fourier reconstruction of a 2D Gaussian function



Fourier reconstruction of a 2D Gaussian function



Fourier reconstruction of a 2D Gaussian function



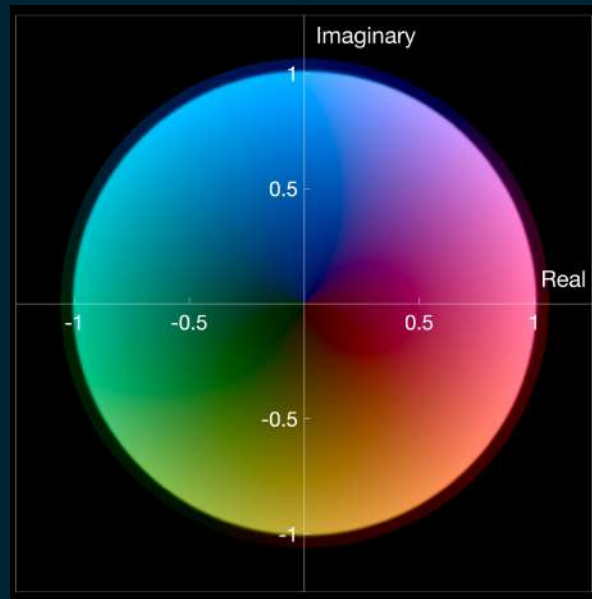
2D Fourier transform

$$G(u, v) = \iint g(x, y) e^{-i2\pi(ux+vy)} dx dy$$

2D inverse Fourier transform

$$g(x, y) = \iint G(u, v) e^{i2\pi(ux+vy)} du dv$$

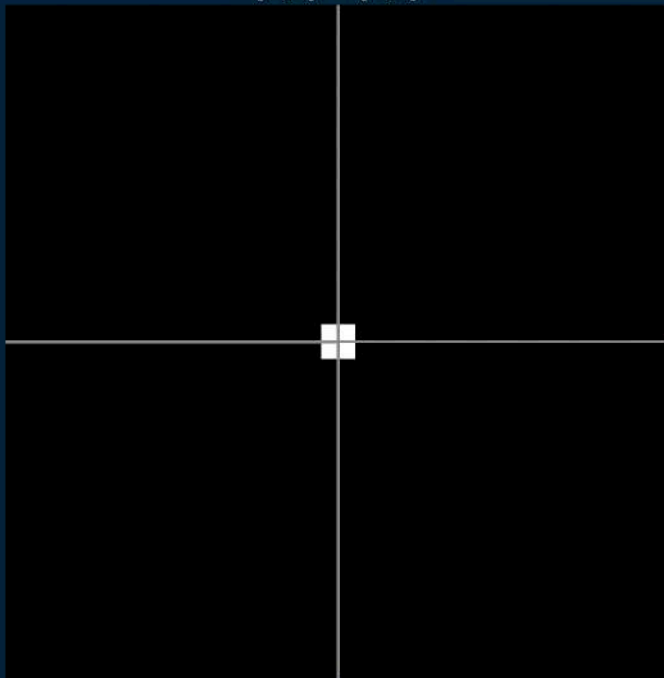
Complex numbers



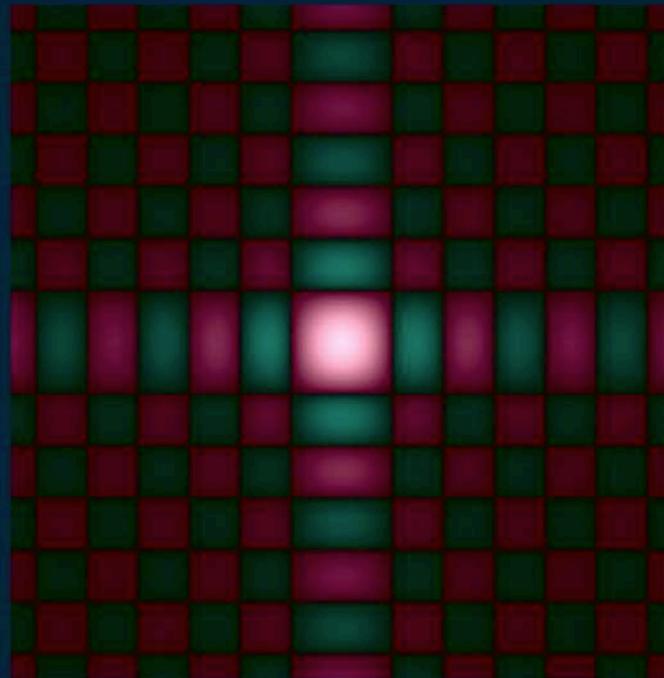
We'll represent complex numbers
using this scheme

FT of a square

$(a,b) = (0,0)$



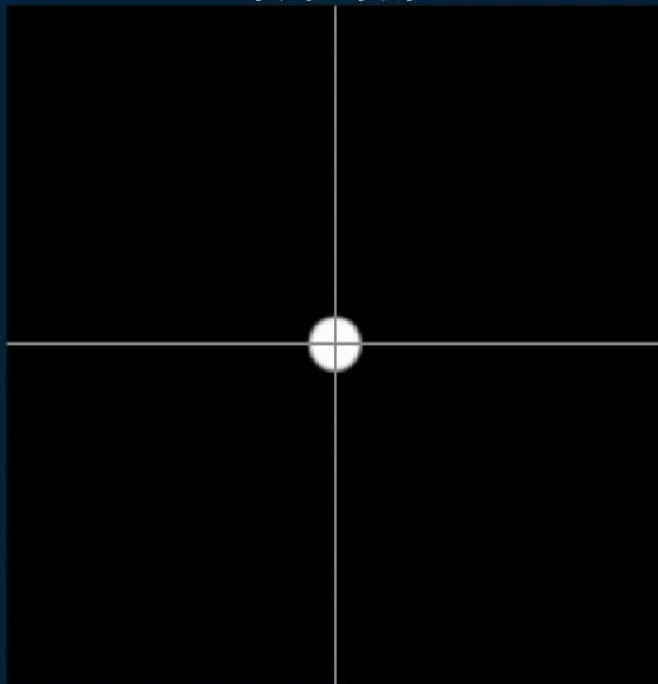
$$g = \text{rect}(x) \text{rect}(y)$$



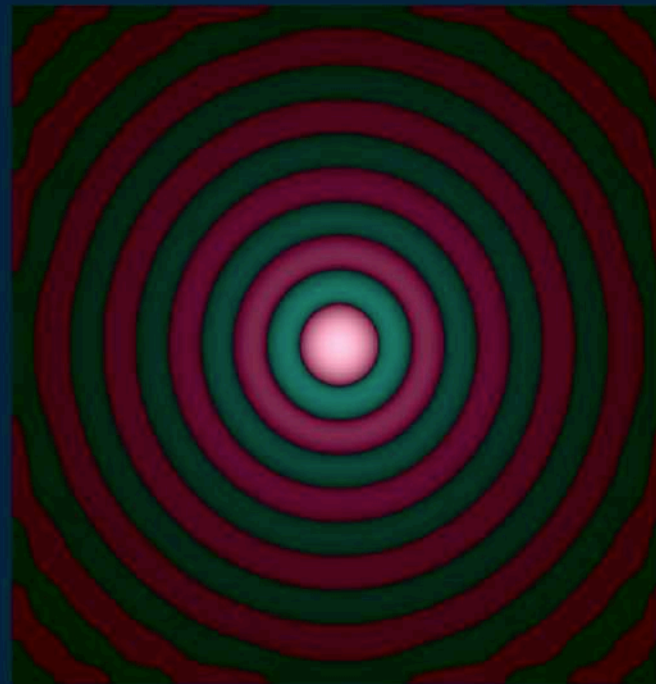
$$G = \text{sinc}(u) \text{sinc}(v)$$

FT of a disc

$(a,b) = (0,0)$



$$g(x, y) = \text{circ}(r)$$



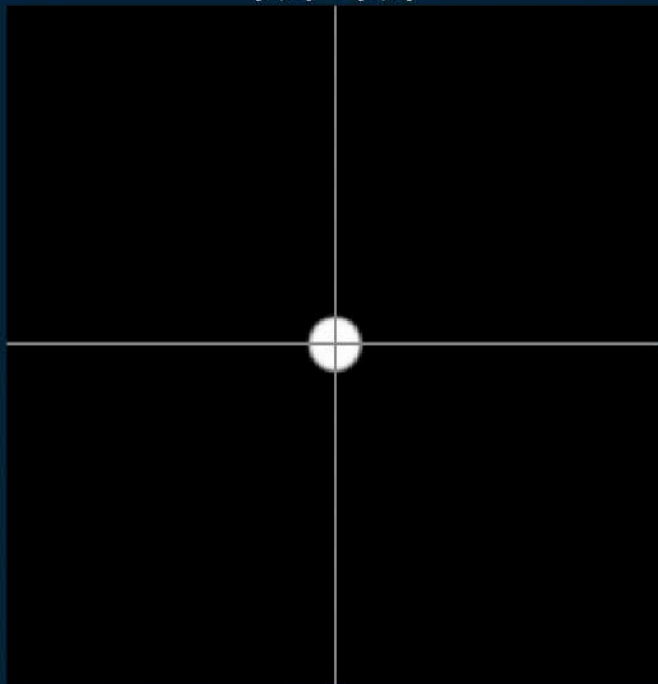
$$G(u, v) = \frac{J_1(2\pi\rho)}{\rho}$$

The shift property

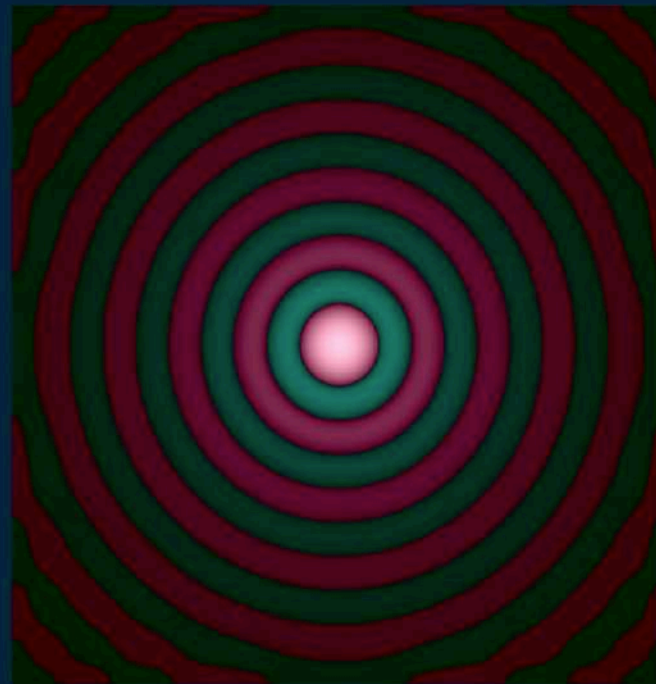
$$g(x - a, y - b) \rightarrow G(u, v)e^{-i2\pi(au+bv)}$$

2D Shift property

$(a,b) = (0,0)$



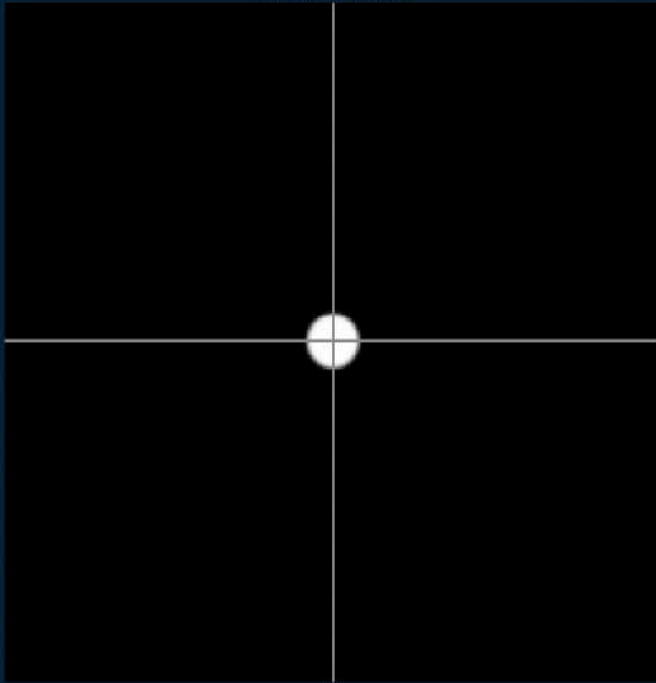
$$g(x - a, y - b)$$



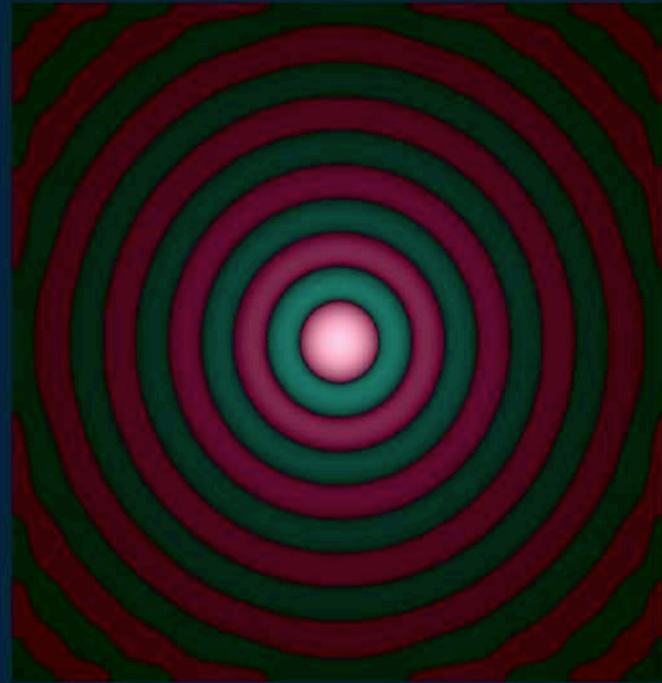
$$G(u, v)e^{-i2\pi(au+bv)}$$

2D Shift property

$(a,b) = (0,0)$



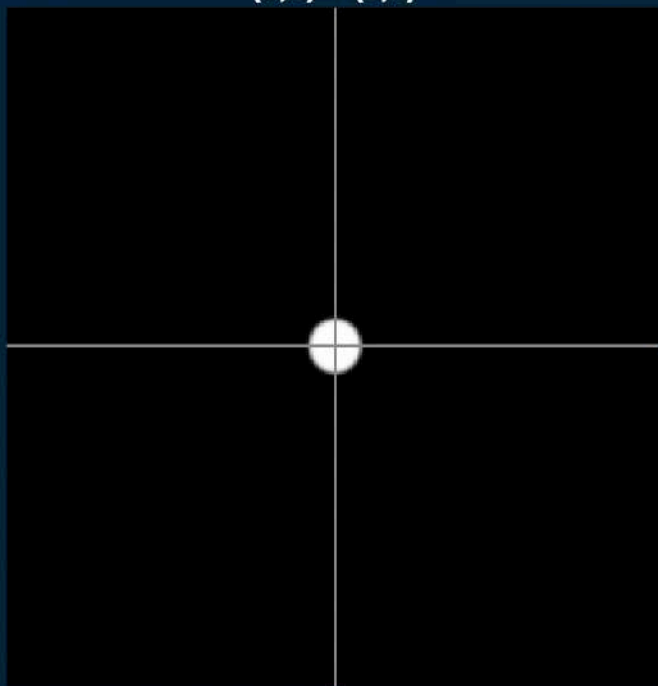
$$g(x - a, y - b)$$



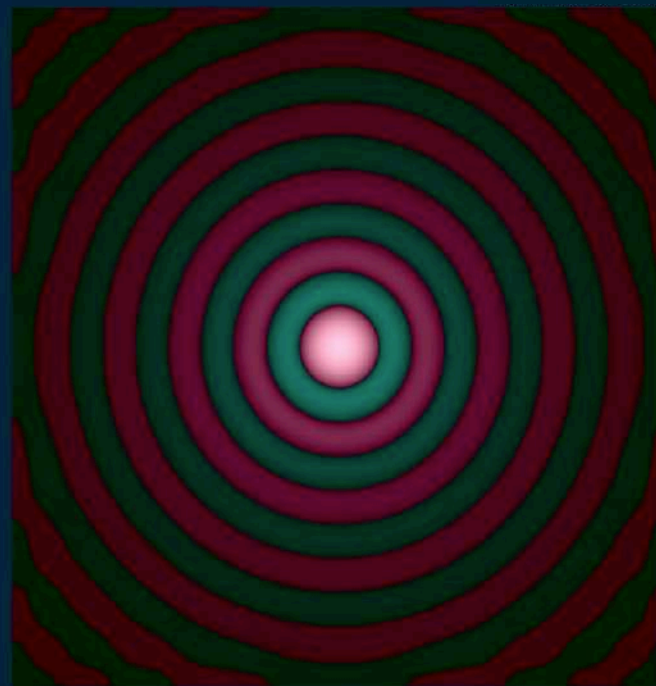
$$G(u, v)e^{-i2\pi(au+bv)}$$

2D Shift property

$(a,b) = (0,0)$



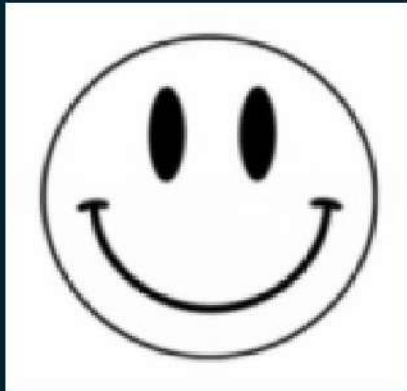
$g(x-a, y-b)$



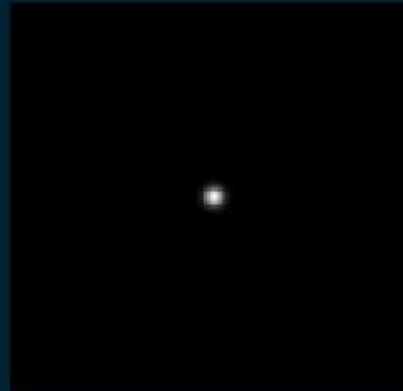
$G(u,v)e^{-i2\pi(au+bv)}$

Convolution with a Gaussian

$g(x,y)$



$h(x,y)$

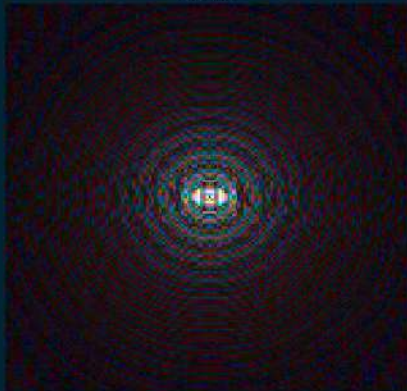


$g*h$



↓ FT

$G(u,v)$



↓ FT

$H(u,v)$



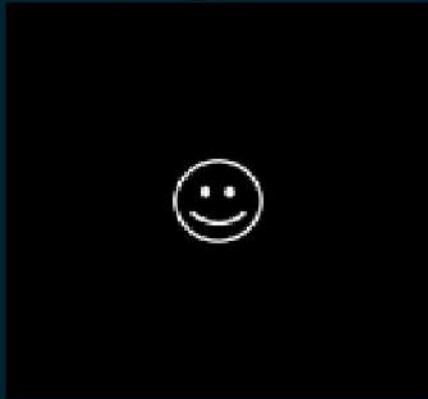
↑ IFT

$G(u,v) H(u,v)$



Convolution with a lattice

$g(x,y)$

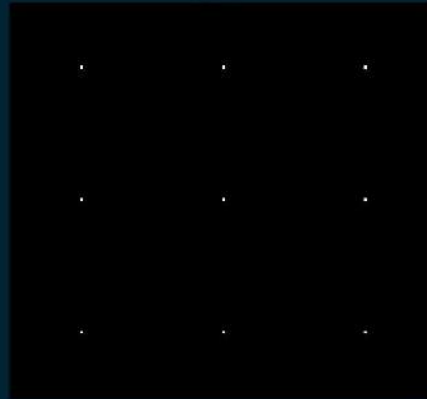


↓ FT

$G(u,v)$



$h(x,y)$

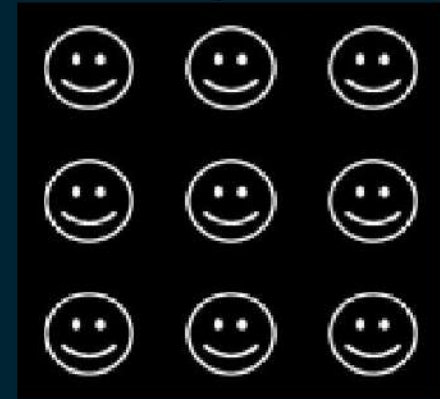


↓ FT

$H(u,v)$

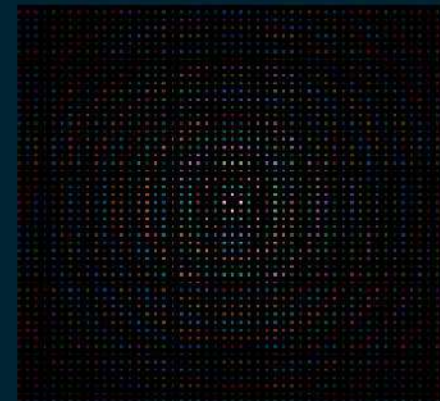


$g*h$

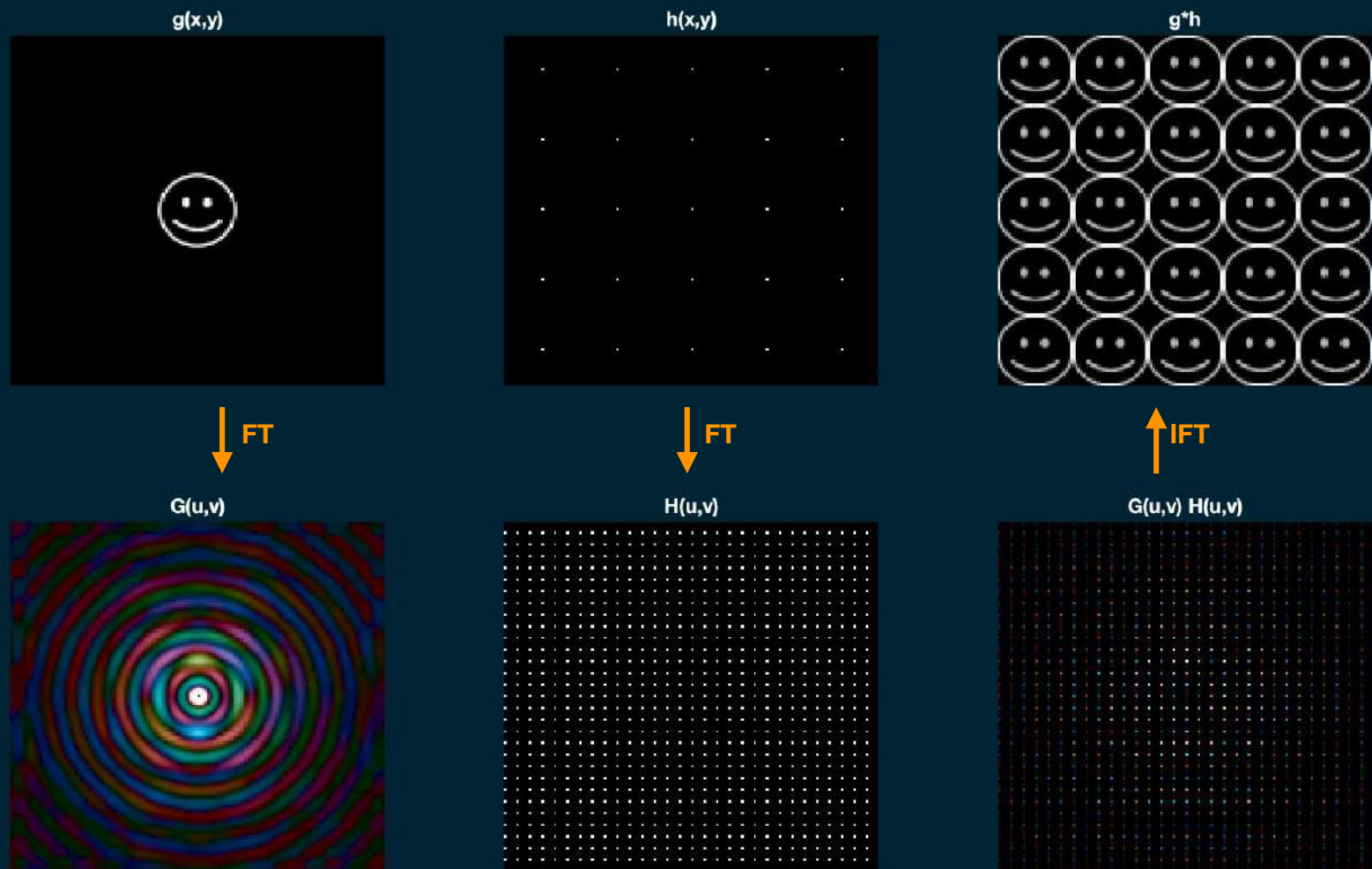


↑ IFT

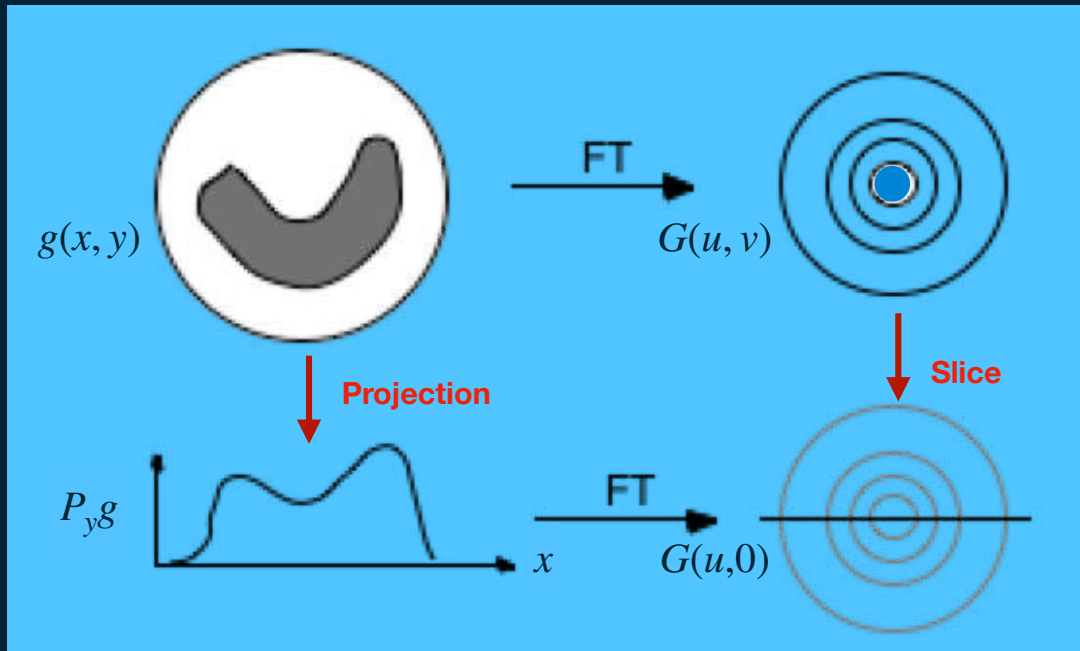
$G(u,v) H(u,v)$



An undersampling lattice



The Fourier Slice Theorem



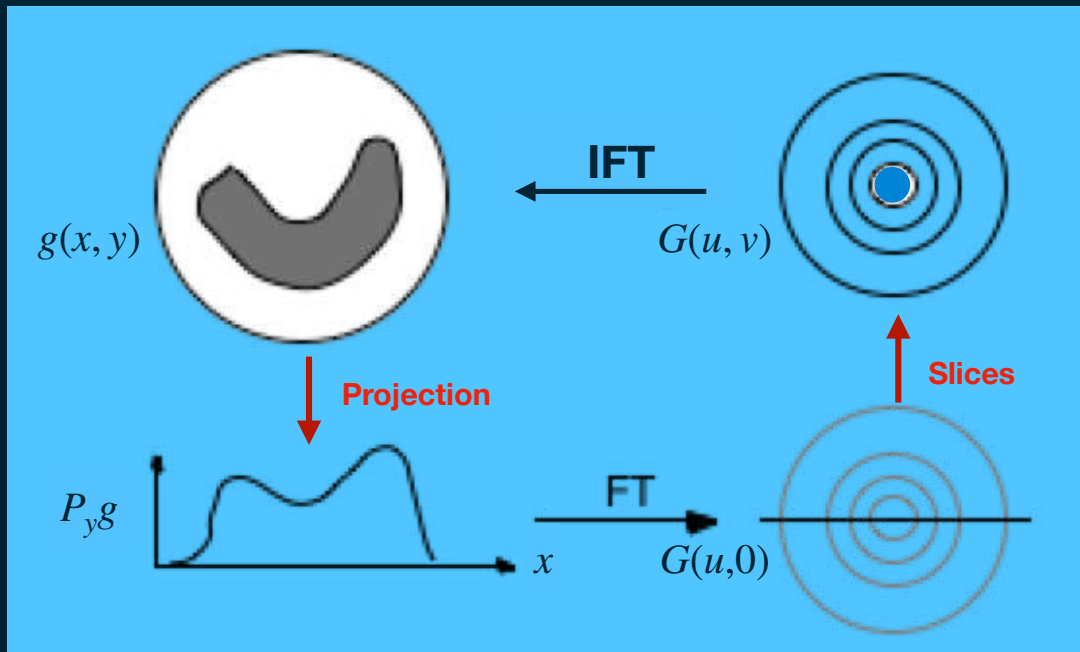
$$G(u, v) = \iint g(x, y) e^{-i2\pi(ux+vy)} dx dy$$

$$G(u, 0) = \int \left(\int g(x, y) dy \right) e^{-i2\pi(ux)} dx$$

$$= \mathcal{F}\{P_y g\}$$

$$P_y g(x, y) = \int g(x, y) dy$$

Reconstruction using the Fourier Slice Theorem



$$G(u, v) = \iint g(x, y) e^{-i2\pi(ux+vy)} dx dy$$

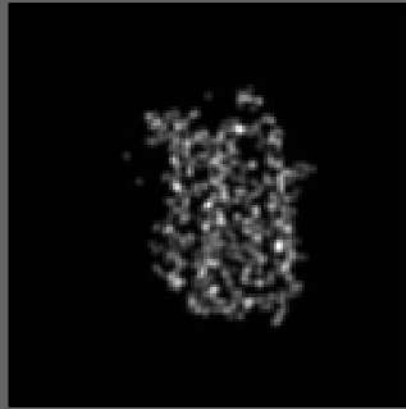
$$G(u, 0) = \int \left(\int g(x, y) dy \right) e^{-i2\pi(ux)} dx$$

$$= \mathcal{F}\{P_y g\}$$

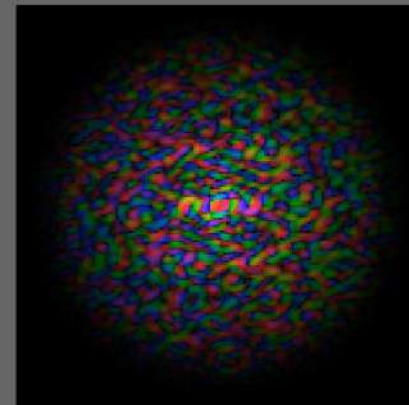
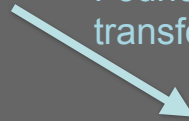
$$P_y g(x, y) = \int g(x, y) dy$$

The rotation property says:
If we can collect projections from all
directions, we can construct all of $G(u, v)$

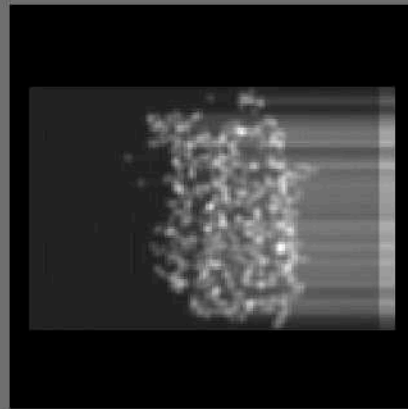
2D reconstruction using the slice theorem



Fourier
transform



2D reconstruction using the slice theorem



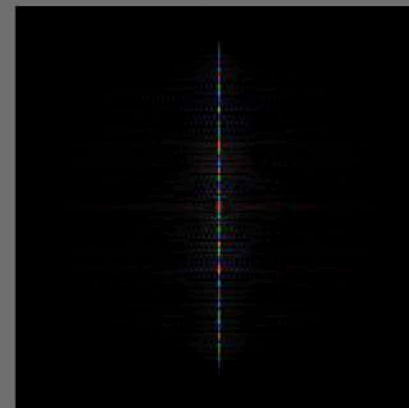
Compute the
1D projection



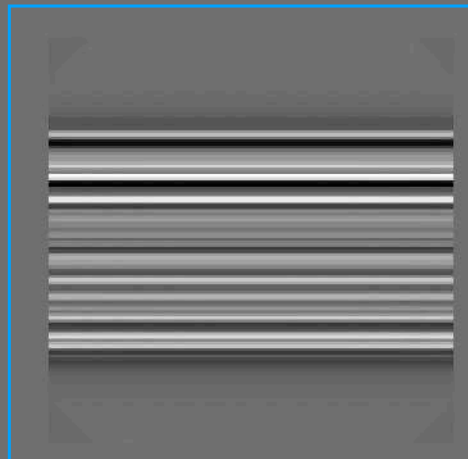
Fourier
transform



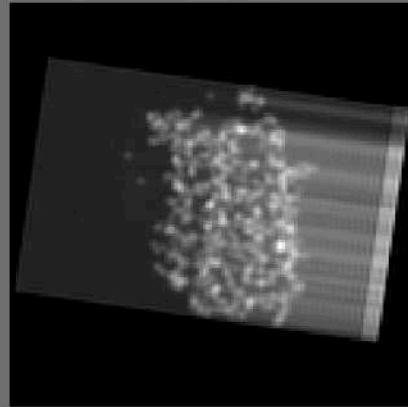
Insert as a slice
in 2D field



2D inverse
Fourier
transform



2D reconstruction using the slice theorem



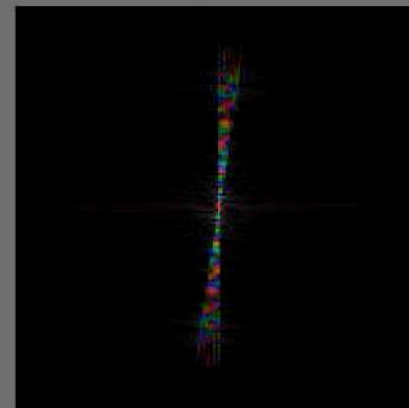
Compute the
1D projection



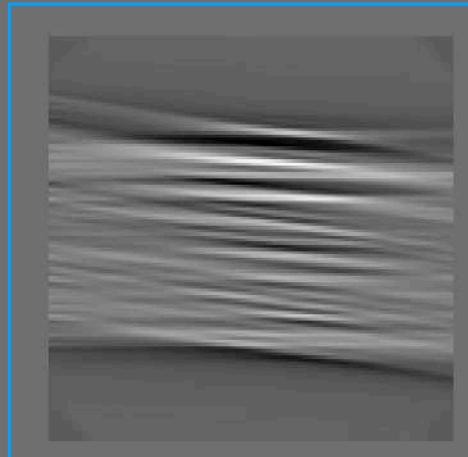
Fourier
transform



Insert as a slice
in 2D field



2D inverse
Fourier
transform



The discrete FT is what is calculated on a computer

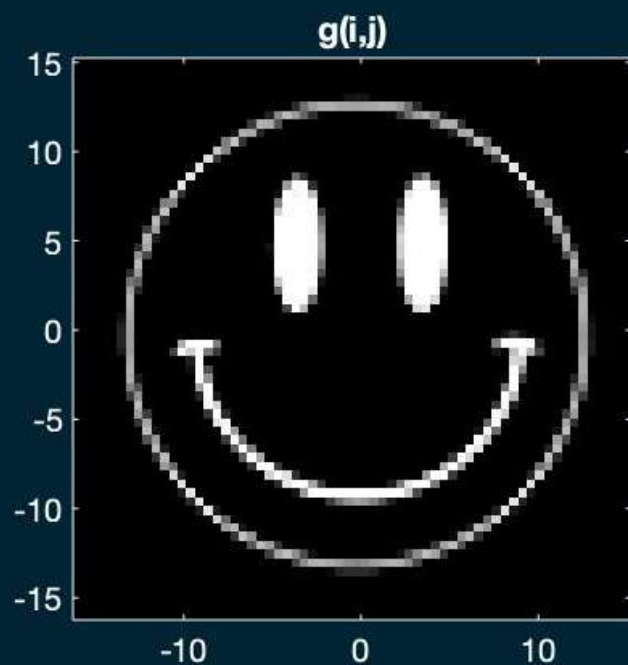
2D Fourier transform

$$G(u, v) = \iint g(x, y) e^{-i2\pi(ux+vy)} dx dy$$

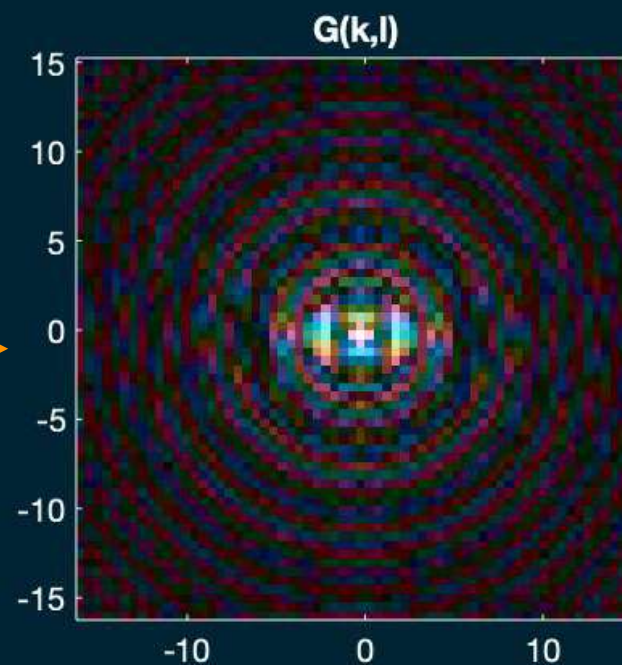
2D discrete Fourier transform

$$G(k, l) = \frac{1}{N} \sum_{i=-N/2}^{N/2-1} \sum_{j=-N/2}^{N/2-1} g(i, j) e^{-i2\pi(ik+jl)/N}$$

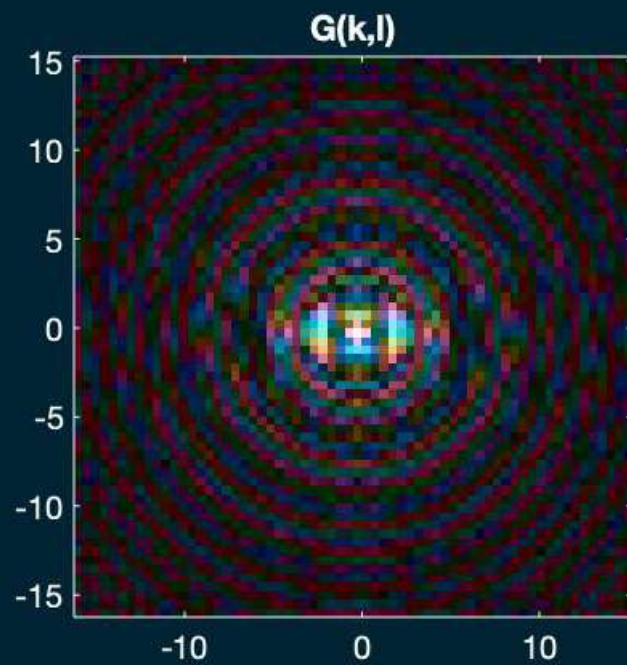
The DFT of a 32 x 32 pixel image has 32 x 32 complex pixel values



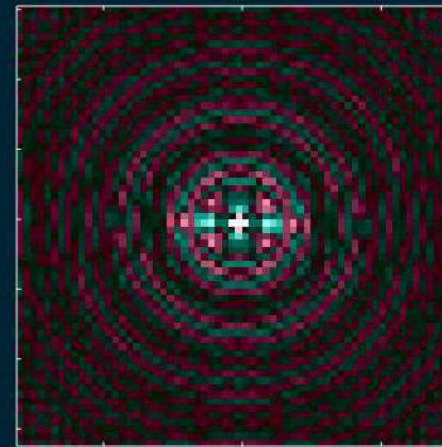
DFT



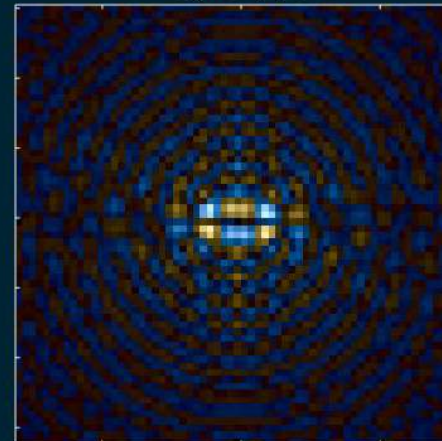
But the DFT of a real image has twofold redundancy



Real part



Imaginary part



Summary of 2D Fourier transform

2DFT Pairs

$$e^{-\pi(x^2+y^2)} \rightarrow e^{-\pi(u^2+v^2)}$$

$$\text{rect}(x)\text{rect}(y) \rightarrow \text{sinc}(u)\text{sinc}(v)$$

$$\text{circ}(r) \rightarrow \frac{J_1(2\pi\rho)}{\rho}$$

$$\delta(x)\delta(y) \rightarrow 1$$

$$\text{III}(x, y) \rightarrow \text{III}(u, v)$$

$$\text{sinc}(u) = \frac{\sin(\pi u)}{\pi u}$$

2DFT Properties

$$ab g(ax, by) \rightarrow G(u/a, v/b)$$

Scale

$$g(x-a, y-b) \rightarrow G(u, v)e^{-i2\pi(au+bv)}$$

Shift

$$g(x', y') \rightarrow G(u', v')$$

Rotation

$$P_y g(x, y) \rightarrow G(u, 0)$$

Projection

$$f \star g \rightarrow FG$$

Convolution

$$(x', y') = R_\theta(x, y)$$

$$(u', v') = R_\theta(u, v)$$

The 3D transform

3D Fourier transform

$$G(u, v, w) = \iiint g(x, y, z) e^{-i2\pi(ux+vy+wz)} dx dy dz$$

3D Inverse Fourier transform

$$g(x, y, z) = \iiint G(u, v, w) e^{+i2\pi(ux+vy+wz)} du dv dw$$